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The Standard Model of Particles and Forces in the Framework of 2T-physics¹

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Abstract

In this paper it will be shown that the Standard Model in $3 + 1$ dimensions is a gauge fixed version of a 2T-physics field theory in $4 + 2$ dimensions, thus establishing that 2T-physics provides a correct description of Nature from the point of view of $4 + 2$ dimensions. The 2T formulation leads to phenomenological consequences of considerable significance. In particular, the higher structure in $4 + 2$ dimensions prevents the problematic $F * F$ term in QCD. This resolves the strong CP problem without a need for the Peccei-Quinn symmetry or the corresponding elusive axion. Mass generation with the Higgs mechanism is less straightforward in the new formulation of the Standard Model, but its resolution leads to an appealing deeper physical basis for mass, coupled with phenomena that could be measurable. In addition, there are some brand new mechanisms of mass generation related to the higher dimensions that deserve further study. The technical progress is based on the construction of a new field theoretic version of 2T-physics including interactions in an action formalism in $d + 2$ dimensions. The action is invariant under a new type of gauge symmetry which we call 2Tgauge-symmetry in field theory. This opens the way for investigations of the Standard Model directly in $4 + 2$ dimensions, or from the point of view of various embeddings of $3 + 1$ dimensions, by using the duality, holography, symmetry and unifying features of 2T-physics.

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I. THE $\text{Sp}(2, R)$ GAUGE SYMMETRY

The essential ingredient in two-time physics (2T-physics) is the basic gauge symmetry $\text{Sp}(2, R)$ acting on phase space X^M, P_M [1], or its extensions with spin [2][3] and/or supersymmetry [4]-[6]. Under this gauge symmetry, momentum and position are locally indistinguishable at any instant. This principle inevitably leads to deep consequences, one of which is the two-time structure of spacetime in which ordinary one-time (1T) spacetime is embedded. Some of the 1T-physics phenomena that emerge from 2T-physics include certain types of dualities, holography, emergent spacetimes, and a unification of certain 1T-physics systems into a single parent theory in 2T-physics.

In the present paper a field theoretic formulation of 2T-physics is given in $d + 2$ dimensions. To construct the 2T field theory, first the free field equations are determined from the covariant quantization of the 2T particle on the worldline, subject to the $\text{Sp}(2, R)$ gauge symmetry and its extensions with spin. Next, an action is constructed from which the 2T free field equations are derived, and then interactions are included consistently with certain new symmetries of the action. The resulting action principle for 2T-physics in $d + 2$ dimensions is then applied to construct the 2T Standard Model in $4 + 2$ dimensions. It is shown that the usual Standard Model in $3 + 1$ dimensions is a holographic image of this $4 + 2$ dimensional theory. The underlying $4 + 2$ structure provides some additional restrictions on the Standard Model, with significant phenomenological consequences, as outlined in the abstract. The $4 + 2$ dimensional theory suggests new non-perturbative approaches for investigating $3 + 1$ dimensional field theories, including QCD.

Prior to this development, 2T-physics had been best understood for particles in the worldline formalism interacting with all background fields [3], including gauge fields, gravitational field and all high spin fields, and subject to the $\text{Sp}(2, R)$ gauge symmetry, or its extensions with spin. For the spinless particle, the three $\text{Sp}(2, R)$ gauge symmetry generators $Q_{ij}(X, P)$, $i, j = 1, 2$, are functions of phase space and depend on background fields $\phi^{M_1 M_2 \dots M_s}(X)$ of any integer spin s . The simplest case of 2T-physics corresponds to a spinless particle moving in the trivial constant background field η^{MN} that corresponds to the metric in a flat spacetime. In this case the $\text{Sp}(2, R)$ gauge symmetry is generated by the operators

$$Q_{11} = \frac{1}{2}X \cdot X, \quad Q_{22} = \frac{1}{2}P \cdot P, \quad Q_{12} = Q_{21} = \frac{1}{2}(X \cdot P + P \cdot X), \quad (1.1)$$

where the dot product involves the the flat metric η_{MN} . Similarly, for spinning particles of spin s , phase space (ψ_i^M, X^M, P^M) , includes the fermions ψ_i^M , $i = 1, 2, \dots, 2s$, so the gauge symmetry is enlarged to the worldline supersymmetry $\text{OSp}(2s|2)$ which includes $\text{Sp}(2, R)$. In flat spacetime, the generators of the gauge symmetry correspond to all the spacetime dot products among the ψ_i^M, X^M, P^M . These generators are first class constraints that vanish, thus restricting the phase space (ψ_i^M, X^M, P^M) to a $\text{OSp}(2s|2)$ gauge invariant subspace.

To have non-trivial solutions for the constraints $Q_{ij} = 0$, etc., the flat metric η_{MN} , which is used to form the dot products in the constraints, must have a two-time signature. So, in the absence of backgrounds, the 2T particle action is automatically invariant under a global $SO(d, 2)$ symmetry in $d + 2$ dimensions, where the 2T signature emerges from the requirement of the local gauge invariance of the physical sector. In the presence of backgrounds the 2T signature in $d + 2$ dimensions is still required by the gauge symmetry. However, the nature of the space-time global symmetry, if any, is determined by the Killing vectors of the background in $d + 2$ dimensions, and it may be smaller or larger than $SO(d, 2)$.

It is well understood [1]-[7] that the gauge symmetry compensates for extra dimensions in phase space (X^M, P^M, ψ_i^M) , and effectively reduces the $d + 2$ dimensional space by one time and one space dimensions, thus establishing causality and guaranteeing a ghost free 2T-physics theory. The subtlety is that there are many ways of embedding the remaining “time” and “Hamiltonian” in the higher space-time. Therefore there are many 1T systems that emerge in $(d - 1) + 1$ dimensions as solutions of the constraints with various gauge choices. Some examples are given in Fig. 1.

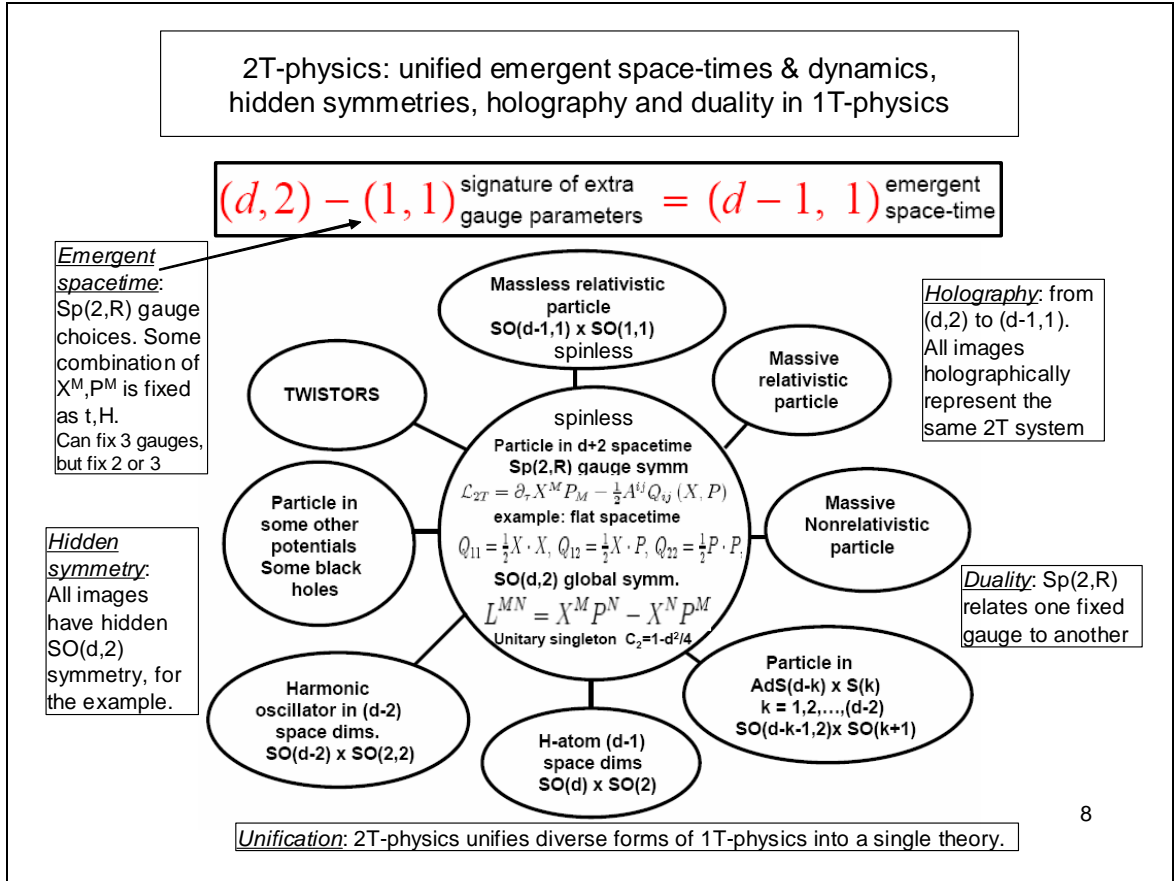


Fig.1 - Some 1T-physics systems that emerge from the solutions of $Q_{ij} = 0$.

In these emergent space-times the Hamiltonian for each 1T system is different, hence the dynamics appears different from the point of view of 1T-physics. However, each 1T system holographi-

cally represents the original 2T system in $d + 2$ dimensions. Of course, due to the original gauge symmetry the various 1T systems are in some sense equivalent. This equivalence corresponds to dualities among the various 1T systems [7]-[11].

Hence 2T-physics may be recognized as a unifying structure for many 1T-systems. The unification occurs through the presence of the higher dimensions, but in a way that is very different than the Kaluza-Klein mechanism since there are no Kaluza-Klein excitations, but instead there are hidden symmetries that reflect $d + 2$ dimensions, and also a web of dualities among 1T systems that are holographic images of the 2T parent theory in $d + 2$ dimensions.

As shown in Fig.1, simple examples of such 1T systems in $(d - 1) + 1$ dimensions, that are known to be unified by the free 2T particle in flat $d + 2$ dimensions, and have non-linear realizations of $SO(d, 2)$ symmetry with the same Casimir eigenvalues, include the following systems for spinless particles: free massless relativistic particle [1], free massive relativistic particle [7], free massive non-relativistic particle [7], hydrogen atom (particle in $1/r$ potential in $d - 1$ space dimensions) [7], harmonic oscillator in $d - 2$ space dimensions [7], particle on a sphere $S^{d-1} \times R$ [11], particle on $AdS_{d-k} \times S^k$ for $k = 0, 1, \dots, (d - 2)$ [7], particle on maximally symmetric curved spaces in d dimensions [8], particle on BTZ black hole (special for $d = 3$ only) [9], and twistor equivalents [10][11] of all of these in d dimensions. There are also generalizations of these for particles with spin [2], with supersymmetry [4], with various background fields [3], and the twistor superstring [5], although details and interpretation of the 1T physics for gauges other than the massless particle gauge remain to be developed for most of the generalizations.

The established existence of the hidden symmetries and the duality relationships among such well known simple systems at the classical and quantum levels provide part of the evidence for the existence of the higher dimensions. This already validates 2T-physics as the theory that predicted them and provided the description of the underlying deeper structure that explain these phenomena.

Next comes the question of how to express these properties of 2T-physics in the language of field theory, and how to include interactions. This was partially understood [12] in the form of field equations, including interactions, as reviewed in section 2. But this treatment missed an action principle from which all the equations of motion should be derived. The field equations were classified as those that determine “kinematics” and those that determine “dynamics”. The dynamical equations including interactions could be obtained from an action as usual, but the kinematical equations, which determine how $3 + 1$ dimensional spacetime is embedded in $4 + 2$ dimensional spacetime, needed to be imposed from outside as additional constraints. This was considered incomplete in [12], because a full action principle that yields all the equations is needed to be able to study consistently the quantum theory and other properties of the theory.

The new principles for constructing the 2T-physics action in $d + 2$ dimensions are developed in section 2. These emerge from basic properties of $Sp(2, R)$ and its extensions as discussed in [12], supplemented with a hint on the overall structure of the action that followed from a recent

construction of BRST field theory for 2T-physics [13]. The new action principle does not use the BRST formalism, but has a new type of gauge symmetry which we name as the “2Tgauge-symmetry” in field theory. From this action we derive both the kinematic and the dynamical equations through the usual variational principle.

The Standard Model in $4 + 2$ dimensions is constructed in section 3 by introducing the matter and gauge field content analogous to the usual Standard Model, but now derivatives and vector bosons are $SO(4, 2)$ vectors, fermions are $SO(4, 2)=SU(2, 2)$ quartet spinors, while all fields are functions in $4 + 2$ dimensions. The 2Tgauge-symmetry dictates the overall structure and the form of the terms that can be included.

Next, in section 4, the action for the Standard Model in $3 + 1$ dimensions is derived from the action in $4 + 2$ dimensions by solving the subset of equations of motion that determine the “kinematics”. This step is equivalent to choosing a gauge for the underlying $Sp(2, R)$ gauge symmetry in the worldline formalism, as illustrated in Fig.1. In particular solving the kinematics in a particular parametrization given in Eq.(4.1) corresponds to the “massless relativistic particle” gauge denoted in Fig.1. The solution of the kinematic equations in this way provides a holographic image of the $4 + 2$ dimensional theory in the $3 + 1$ dimensional spacetime. The degrees of freedom in all the fields are thinned out from $4 + 2$ dimensions to $3 + 1$ dimensions. Both the 2Tgauge-symmetry, and solving the kinematical equations, play a role in reducing the degrees of freedom from $4 + 2$ dimensions to the proper ones in $3 + 1$ dimensions. The remaining dynamics in $3 + 1$ dimensions is determined by the emergent $3 + 1$ dimensional action. In the chosen gauge the emergent theory is the usual Standard Model action, however the emergent Standard Model comes with some interesting restrictions on certain terms. The additional restrictions are effects of the overall $4 + 2$ structure and are not dictated by working directly in $3 + 1$ dimensions.

Having obtained the Standard Model in $3 + 1$ dimensions, one may ask, what is new in $3 + 1$ dimensions? Part of the answer includes the constraints inherited from $4 + 2$ dimensions that get reflected on the overall structure of the emergent Standard Model in $3 + 1$ dimensions. It is fascinating that this has phenomenological consequences. First we emphasize that all the basic interactions that are known to work in Nature among the quarks, leptons, gauge bosons and Yukawa couplings are permitted. The forbidden terms seem to coincide with unobserved interactions in Nature. In particular, a forbidden term in the $3 + 1$ dimensional emergent action is the problematic $F_{\mu\nu}F_{\lambda\sigma}\varepsilon^{\mu\nu\lambda\sigma}$ term in QCD, or similar anomalous terms in the weak interactions that cause unobserved small violations of $B + L$. This is because there are no corresponding terms in the $4 + 2$ dimensional action that would filter down to $3 + 1$ dimensions. As discussed in section V, this provides a nice resolution of the strong CP problem in QCD without the need for the Peccei-Quinn symmetry or the corresponding elusive axion. This had remained as one of the unresolved issues of the usual Standard Model (for a recent review see [14]). So, the $4 + 2$ dimensional theory seems to explain more as compared to the usual $3 + 1$ dimensional theory.

Mass generation is less straightforward in the emergent model than the usual Standard Model,

because a quadratic mass term for the Higgs boson is not permitted by the underlying $4 + 2$ structure. This is discussed in section VI. To obtain a non-trivial vacuum, one may either introduce interactions of the Higgs with a dilaton, or invoke dynamical breakdown of the $SU(2) \times U(1)$ gauge symmetry through mechanisms such as extended technicolor. The dilaton scenario offers an appealing deeper physical basis for mass, and generates new phenomena that could be measurable. There are also new possibilities for mass in the emergent theory that involve the higher dimensions. For this we only need to recall that a massive relativistic particle can also come out from the $4 + 2$ theory as illustrated in Fig.1. The mass in this case is analogous to a modulus that comes from the higher dimensions in a non-trivial embedding of $3 + 1$ in $4 + 2$ dimensions. Since mass generation is the obscure part of the Standard Model, and there are new mechanisms in the 2T action, the mass generation deserves further study of what the 2T approach has to offer.

Since our proposal is that the fundamental theory is formulated in $4 + 2$ dimensions, one wonders if one can test the effect of the extra dimensions. This can be explored by studying other gauges of $Sp(2, R)$ (equivalent to different forms of solutions of the kinematic equations) that lead to $3 + 1$ dimensional dual versions that are also holographic images of the same $4 + 2$ dimensional Standard Model in the sense of Fig.1. The exploration of these dual theories corresponds to exploring the $4 + 2$ dimensional space, and is left to future work. Other remaining issues and future directions will be discussed in section VII.

II. PRINCIPLES FOR INTERACTING 2T FIELD THEORY ACTION

The construction of the proper action principle for 2T-physics has remained an open problem for some time. Equations of motion for each spin, including interactions were available, and even the Standard Model in $4 + 2$ dimensions in equation of motion form was outlined [12]. The main stumbling block has been the fact that there are more equations to be satisfied by each field than the number of equations which can be derived from a standard action. The solution given in this paper will involve some subtle properties of the delta function $\delta(X^2)$ that imposes the $Q_{11} \sim X^2 = 0$ constraint, and could have already been attained in [12], but it was missed. A crucial hint came from a recent BRST field theory formulation of the problem, akin to string field theory, as discussed in [13]. In the present paper we by-pass the BRST construction and use only the tip as a spring-board to construct a simpler action for only the relevant fields of any spin, although the full BRST field theory may also be useful to consider for more general purposes. The 2T action principle given in this section provides the proper minimal framework to consistently discuss new symmetries, include interactions, and perform quantization in 2T field theory. This principle is applied to construct the Standard Model in $4 + 2$ dimensions in section (III).

In this section we first review the derivation [12] of the 2T free field equations in $d + 2$

dimensions for scalars, fermions, vectors, and the graviton from the *worldline* properties of the $\text{Sp}(2, R)$, $\text{OSp}(1|2)$, $\text{OSp}(2|2)$ and $\text{OSp}(4|2)$ gauge symmetry respectively. Then we introduce an action principle in $d + 2$ dimensional *field theory* from which these field equations are derived through the variational principle. The action has a new kind of gauge symmetry that we call the 2Tgauge-symmetry.

The free field equations are obtained as follows. The $\text{OSp}(2s|2)$ are the gauge symmetry groups in the worldline formulation of the 2T particles of spin s in flat $d + 2$ dimensions. In $\text{SO}(d, 2)$ covariant first quantization, physical states are identified as those that are gauge invariant by satisfying the first class constraints which form the $\text{OSp}(2s|2)$ Lie superalgebra. In position space the constraint equations turn into field equations in $d + 2$ dimensions. So, in principle the number of field equations for each spinning particle of spin s is equal to the number of generators of the gauge groups $\text{OSp}(2s|2)$, since all generators must vanish on the physical gauge invariant field. By some manipulation the number of equations in $d + 2$ dimensions can be brought down to a smaller set, but still there are more field equations as compared to the familiar field equation for corresponding spinning fields in d dimensions. This must be so, because only with the additional equations it is possible to have an equivalence between the $d + 2$ dimensional field equations and their corresponding ones in d dimensions. The familiar looking $d + 2$ field equation, that is similar to the d dimensional equation, is interpreted as the dynamical equation, while the additional equations can be interpreted as subsidiary kinematical conditions on the field in $d + 2$ dimensions.

The same subsidiary kinematic equations for fields of any integer spin s were also obtained by considering only the spinless particle propagating in background fields $\phi^{M_1 M_2 \dots M_s}(X)$ of any integer spin s . In this case the $\text{Sp}(2, R)$ generators $Q_{ij}(X, P)$, $i, j = 1, 2$, are functions of the background fields $\phi^{M_1 M_2 \dots M_s}(X)$ [3]. Requiring closure of the $Q_{ij}(X, P)$ under Poisson brackets into $\text{Sp}(2, R)$ demands conditions on the background fields. These conditions are identical to the kinematic equations. In this case the background fields are off shell and are not required to satisfy the dynamical equation. The kinematic equations by themselves are sufficient to reduce the degrees of freedom in the fields $\phi^{M_1 M_2 \dots M_s}(X)$ from $d + 2$ dimensions to d dimensions both in the spacetime X^M dependence of the field, and in the components of spinning fields labeled by M_1, M_2, \dots, M_s .

The 2T-physics equations that emerge from $\text{OSp}(2s|2)$ constraints in $d + 2$ dimensions, or from the backgrounds with $\text{Sp}(2, R)$ gauge symmetry, coincide with Dirac's equations [15] in the case of $s = 0, 1/2, 1$ in $d = 4$, and their generalizations to spin 2 and higher [12][3][16] in any dimension. While there were attempts in the past to write down a field theory action, the subsidiary kinematic conditions have been treated as external conditions not derived from the same action. The proper action principle will be given in this paper.

In any case, it has been known [15]-[23],[12] at the level of equations of motion, that the ensemble of the $d + 2$ dimensional equations correctly reproduce the massless Klein-Gordon,

Dirac, Maxwell, Einstein, and higher spin field equations of motion in d dimensions. In 2T-physics this is interpreted as an example of a more general holography from $d + 2$ dimensions to $(d - 1) + 1$ dimensions that emerges from gauge fixing $\text{Sp}(2, R)$.

A particular parametrization given in Eq.(4.1) that corresponds to the $\text{Sp}(2, R)$ gauge indicated as the “massless particle gauge” in Fig.1 will be used to derive the Standard Model in $3 + 1$ dimensions from the $4 + 2$ dimensional theory. The massless Klein-Gordon, Dirac, Maxwell, Einstein field equations in d dimensions emerge in the parametrization of Eq.(4.1). The novelty in 2T physics is its more general property that all the other 1T interpretations (massless, massive, curved spaces, etc.), outlined in Fig.1 also emerge from the same 2T field equations, as different holographic images in different $\text{Sp}(2, R)$ gauges, as explained before both in particle theory [7] and field theory [12]. In this paper we will use only the massless particle interpretation related to the parametrization of Eq.(4.1) in order to connect to the usual form of the Standard Model. However, it should be evident that dual versions of the Standard Model, including interactions, will emerge by taking advantage of the more general properties of these equations².

A. Scalar Field

1. Free field equations for scalars

For the spinless 2T particle, the vanishing of the $\text{Sp}(2, R)$ generators implies that the physical phase space must be gauge invariant. In covariant first quantization, physical states $|\Phi\rangle$ are identified as those on which the generators Q_{ij} vanish $X^2|\Phi\rangle = 0$, $P^2|\Phi\rangle = 0$, $(X \cdot P + P \cdot X)|\Phi\rangle = 0$. This means that the physical states form the subset of states that are gauge invariant under

² Dirac and followers regarded the equations of motion in $4 + 2$ dimensions as a formulation of the hidden conformal symmetry $\text{SO}(4, 2)$ of massless field equations in $d = 4$. The $4 + 2$ dimensional spacetime was not emphasized as being anything other than a trick. 2T-physics developed independently from the opposite end without awareness of Dirac’s approach to conformal symmetry, and deliberately focusing on signature $(d, 2)$ with two times. The $(d, 2)$ signature specifically started with a hunch [24] developed from M -theory that there are higher dimensions with signature $(10, 2)$ (see also [25] for an independent idea). This path developed through various papers [26][27], gathering hints on how to correctly formulate a theory with signature $(d, 2)$ consistent with M -theory, causality and dynamics without ghosts in $d + 2$ dimensions. The multi-particle symmetries in [27] provided the dynamical setup that eventually led to the introduction of the $\text{Sp}(2, R)$ gauge symmetry [1] for a single particle. The new features that emerged in 2T-physics include the underlying fundamental role of the $\text{Sp}(2, R)$ gauge symmetry, the existence of the other 1T interpretations as holographic images in $(d - 1) + 1$ dimensions of the same 2T system, with the same original $\text{SO}(d, 2)$ symmetry that is not interpreted as conformal symmetry in the various holographic images, the duality among the multiple 1T solutions, and the corresponding interpretation of the hidden symmetries and dualities as evidence for the higher dimensions. Only after these properties were discovered, it was recognized in [12] that Dirac’s approach to conformal symmetry, that had been forgotten, could be seen as part of 2T-physics in a particular gauge.

$\text{Sp}(2, R)$. The field $\hat{\Phi}(X)$ is defined as the probability amplitude of a physical state in position space $\hat{\Phi}(X) = \langle X | \Phi \rangle$. Since momentum is represented as a derivative in position space $\langle X | P_M = -i\partial_M \langle X |$, the gauge invariance conditions applied on physical states $|\Phi\rangle$ give the free field equations for the field $\hat{\Phi}(X)$ as

$$X^2 \hat{\Phi}(X) = 0, \partial_M \partial^M \hat{\Phi}(X) = 0, X^M \partial_M \hat{\Phi}(X) + \partial_M (X^M \hat{\Phi}(X)) = 0. \quad (2.1)$$

The general solution of the first equation is

$$\hat{\Phi}(X) = \delta(X^2) \Phi(X), \quad (2.2)$$

where $\Phi(X)$ (without the hat \wedge)³ is any function of X^M which is not singular at $X^2 = 0$. We have used the property $X^2 \delta(X^2) = 0$ of the delta function. We also note the following additional properties of the delta function that we use repeatedly below

$$\frac{\partial}{\partial X^M} \delta(X^2) = 2X_M \delta'(X^2), \quad X \cdot \frac{\partial}{\partial X} \delta(X^2) = 2X^2 \delta'(X^2) = -2\delta(X^2), \quad (2.3)$$

$$\partial^2 \delta(X^2) = 2(d+2) \delta'(X^2) + 4X^2 \delta''(X^2) = 2(d-2) \delta'(X^2). \quad (2.4)$$

Here $\delta'(u), \delta''(u)$ are the derivatives of the delta function with respect to its argument $u = X^2$. So we have used $u\delta'(u) = -\delta(u)$ and $u\delta''(u) = -2\delta'(u)$ as the properties of the delta function of a single variable u to arrive at the above expressions. These are to be understood in the sense of distributions under integration with smooth functions.

Inserting the solution $\hat{\Phi}(X) = \delta(X^2) \Phi(X)$ into the other two equations in (2.1), and using Eqs.(2.3,2.4), gives

$$\delta(X^2) \left(X \cdot \partial + \frac{d-2}{2} \right) \Phi = 0, \quad (2.5)$$

$$\partial^2 [\delta(X^2) \Phi] = \delta(X^2) \partial^2 \Phi + 4\delta'(X^2) \left(X \cdot \partial + \frac{d-2}{2} \right) \Phi = 0. \quad (2.6)$$

Here the derivatives must first be taken in the full space X^M before the condition $X^2 = 0$ is imposed. It is easy to see that these equations are invariant under the following gauge transformations

$$\delta_\Lambda \Phi = X^2 \Lambda(X) \quad (2.7)$$

for any function $\Lambda(X)$. The $4\delta'(X^2)$ part of the second equation in Eq.(2.6) with the given coefficient 4 is crucial for this invariance. If we define

$$\Phi(X) = \Phi_0(X) + X^2 \tilde{\Phi}(X), \quad (2.8)$$

³ We distinguish between the symbols $\hat{\Phi}, \hat{\Psi}_\alpha, \hat{A}_M$ and Φ, Ψ_α, A_M to emphasize that $\hat{\Phi}, \hat{\Psi}_\alpha, \hat{A}_M$ include the delta function factor. In comparing notes with ref.[12] one should compare the Φ, Ψ_α, A_M in that paper to the Φ, Ψ_α, A_M in this paper, not to the $\hat{\Phi}, \hat{\Psi}_\alpha, \hat{A}_M$.

where $\Phi_0 \equiv [\Phi(X)]_{X^2=0}$, and $X^2\tilde{\Phi}$ is the remainder, the gauge symmetry implies that Φ_0 is gauge invariant while $\tilde{\Phi}$ is pure gauge freedom and completely drops out. Hence, the non-singular gauge invariant function $\Phi_0(X)$ satisfies the following equations in $d+2$ dimensions

$$\left[\left(X \cdot \partial + \frac{d-2}{2} \right) \Phi_0 \right]_{X^2=0} = 0, \quad [\partial^2 \Phi_0]_{X^2=0} = 0. \quad (2.9)$$

where we have substituted the gauge invariant part Φ_0 instead of the full Φ . This is verified directly by substituting Eq.(2.8) in Eq.(2.5,2.6) and noting that $\tilde{\Phi}$ drops out.

The first equation in Eq.(2.9), together with the $X^2 = 0$ condition, are the kinematic equations, and the second one is the dynamical equation. The kinematic equation is solved by any homogeneous function of degree $-(d-2)/2$. Namely, its general solution must satisfy the scaling property $\Phi_0(tX) = t^{-(d-2)/2}\Phi_0(X)$. Note that this homogeneity condition is much more than assigning a scaling dimension to a field in usual field theory because it is a restriction on the spacetime dependence of the field⁴. With a particular parametrization of X^M that satisfies the other kinematic constraint $X^2 = 0$, as given in Eq.(4.1), plus the homogeneity condition, one can show [15][12] that the dynamical equation for $\Phi_0(X)$ in $d+2$ dimensions reduces to the massless Klein-Gordon equation $\frac{\partial^2 \phi(x)}{\partial x^\mu \partial x_\mu} = 0$ in d dimensions, with a definite relationship between $\Phi_0(X)$ and $\phi(x)$. We will return to this detail of holography in the following section.

2. Action for scalars with interactions

We now propose the following *interacting* field theory action that reproduces both the kinematical and dynamical equations of motion Eqs.(2.9). The construction of a proper action principle in 2T-physics field theory has eluded all efforts before, even though one could write equations of motion as shown above and [15]-[12], including interactions. We will argue that we obtain the interactions uniquely through a gauge principle directly connected to the underlying $\text{Sp}(2, R)$ symmetry.

The inspiration for the following form came from a BRST formulation for 2T-physics field theory including interactions [13]. Here we do not use the BRST version but only extract from it a partially gauged fixed version which has just sufficient leftover gauge symmetry for our purposes here. Thus, the key ingredients that go into the proposed action below are first that it should possess the gauge symmetry in Eq.(2.7), and second that it should have additional gauge

⁴ The scaling dimension alone does not require homogeneity. For example a Klein-Gordon field in four dimensional usual field theory has scaling dimension -1 , but it is not homogeneous. This is because the dimension operator is not only the part $X \cdot \partial$ that acts on coordinates, but also includes a part that acts on canonical field degrees of freedom. In particular, note that the usual plane wave solutions with definite momentum $\exp(ik \cdot X)$ are not homogeneous.

symmetry to reduce the theory to only the Φ_0 degree of freedom, including interaction. The action is

$$S(\Phi) = \int d^{d+2}X \left\{ B(X) \partial^2 [\Phi \delta(X^2)] - \delta(X^2) [B(X) V'(\Phi) + aV(\Phi)] \right\}. \quad (2.10)$$

Notice the delta function that imposes the vanishing $\text{Sp}(2, R)$ generator $X^2 = 0$ condition. The function $V(\Phi)$ will be the potential energy for the field's self interactions, and its derivative is $V'(\Phi) = \partial V / \partial \Phi$. The role of the constant coefficient a will become evident in the discussion below. We will see that $V(\Phi)$ will be uniquely determined by the gauge symmetries of the field $B(X)$. The field $B(X)$ emerged from the BRST point of view as a combination of auxiliary fields associated with the kinematical and dynamical $\text{Sp}(2, R)$ generators $X \cdot P$ and P^2 .

Let us first discuss the gauge symmetries of this action. The $\delta(X^2)$ structure makes it evident that we have the gauge symmetry of Eq.(2.7) $\delta_\Lambda \Phi = X^2 \Lambda(X)$, hence if Φ is written in the form of Eq.(2.8) $\Phi = \Phi_0 + X^2 \tilde{\Phi}$, the remainder $\tilde{\Phi}$ automatically drops out. Therefore this action really depends only on Φ_0 automatically. We will continue to write Φ everywhere, but it should be understood that any mode of Φ proportional to X^2 is decoupled, and this fact will be used below.

Next we show that there is nontrivial gauge symmetry associated with the field $B(X)$ under the following transformation with gauge parameter $b(X)$ ⁵

$$\delta_b B = \left(X \cdot \partial + \frac{d-2}{2} \right) b - \frac{1}{4} X^2 (\partial^2 b - b V''(\Phi)), \text{ any } b(X). \quad (2.11)$$

The transformation of the action under this b -symmetry gives

$$\delta_b S(\Phi) = \int d^{d+2}X \left(\left\{ \left(X \cdot \partial + \frac{d-2}{2} \right) b - \frac{1}{4} X^2 (\partial^2 b - b V''(\Phi)) \right\} \times \{ \partial^2 [\Phi \delta(X^2)] - \delta(X^2) V'(\Phi) \} \right) \quad (2.12)$$

$$= \int d^{d+2}X \left(\delta(X^2) \left[\begin{array}{l} (X \cdot \partial + \frac{d-2}{2}) b [\partial^2 \Phi - V'(\Phi)] \\ + (\partial^2 b - b V''(\Phi)) (X \cdot \partial + \frac{d-2}{2}) \Phi \end{array} \right] \right. \\ \left. + 4\delta'(X^2) (X \cdot \partial + \frac{d-2}{2}) b (X \cdot \partial + \frac{d-2}{2}) \Phi \right) \quad (2.13)$$

$$= \int d^{d+2}X \left(\partial_M \left\{ X^M \left[4\delta'(X^2) \Phi (X \cdot \partial b + \frac{d-2}{2} b) - \delta(X^2) V'(\Phi) b \right] \right\} \right. \\ \left. - \delta(X^2) \frac{d-2}{2} b [\Phi V''(\Phi) - \frac{d+2}{d-2} V'(\Phi)] \right) \quad (2.14)$$

In going from Eq.(2.12) to Eq.(2.13) we have used Eq.(2.6) to evaluate $\partial^2 [\Phi \delta(X^2)]$ and then set $X^2 \delta(X^2) = 0$ and $X^2 \delta'(X^2) = -\delta(X^2)$. To reach Eq.(2.14) we do integrations by parts taking into account the delta functions and noting the identity

$$\partial^2 \left[\delta(X^2) \left(X \cdot \partial + \frac{d-2}{2} \right) b \right] \quad (2.15)$$

⁵ This transformation was extracted from the BRST formalism in [13].

$$= \delta(X^2) \left(X \cdot \partial + \frac{d+2}{2} \right) \partial^2 b + 4\delta'(X^2) \left(X \cdot \partial + \frac{d-2}{2} \right)^2 b. \quad (2.16)$$

The total derivative in Eq.(2.14) can be dropped, and then we see there is a gauge symmetry $\delta_b S(\Phi) = 0$ provided the potential energy $V(\Phi)$ satisfies

$$\Phi V''(\Phi) = \frac{d+2}{d-2} V'(\Phi) \rightarrow V'(\Phi) = \lambda \Phi^{\frac{d+2}{d-2}}. \quad (2.17)$$

Thus, except for the overall constant λ , the $V'(\Phi)$ is uniquely determined as the given monomial, as a consequence of imposing the b -gauge symmetry. This gauge symmetry is required to reduce the Φ, B degrees of freedom to only Φ_0 . But interestingly, it also fixes the interaction uniquely.

Now let us verify that this action gives the equations of motion that we require. The general variation of the action is obtained from Eq.(2.10)

$$\delta S(\Phi) = - \int d^{d+2} X \left[\begin{array}{c} \delta(X^2) \delta B \{ \partial^2 \Phi + V'(\Phi) \} \\ + 4\delta'(X^2) \delta B \left(X \cdot \partial + \frac{d-2}{2} \right) \Phi \\ + \delta(X^2) \delta \Phi [\partial^2 B + V''(\Phi) B + aV'(\Phi)] \end{array} \right]$$

The $4\delta'(X^2)$ comes from evaluating $\partial^2 [\Phi \delta(X^2)]$ as in Eq.(2.6). Since the distributions $\delta(X^2), \delta'(X^2)$ are linearly independent the coefficients of $\delta(X^2) \delta B$ and $\delta'(X^2) \delta B$ should vanish independently⁶

$$\left(X \cdot \partial \Phi + \frac{d-2}{2} \Phi \right)_{X^2=0} = 0, \quad [\partial^2 \Phi - V'(\Phi)]_{X^2=0} = 0. \quad (2.18)$$

In these equations we really have $\Phi = \Phi_0$ with no remainder $\tilde{\Phi}$ due to the gauge symmetry $\delta_\Lambda \Phi = X^2 \Lambda$ as discussed above. But we may allow any remainder as long as it is homogeneous since this does not change the equations of motion. Thus, our action did provide the two desired equations of motion for Φ_0 , while the remainder $\tilde{\Phi}$ is gauge freedom, and can still be taken as non-zero as long as it is homogeneous. In addition, the equation of motion for B is

$$[\partial^2 B - V''(\Phi) B - aV'(\Phi)]_{X^2=0} = 0. \quad (2.19)$$

This can be understood in a bit more detail by exhibiting the remainder of B in the form $B = B_0 + X^2 \tilde{B}$. Then the B equation really is

$$\left[\partial^2 B_0 - V''(\Phi_0) B_0 - aV'(\Phi_0) + 4 \left(X \cdot \partial + \frac{d+2}{2} \right) \tilde{B} \right]_{X^2=0} = 0. \quad (2.20)$$

⁶ In this paper we are very careful when we make such statements. The equation $\delta(X^2) F(X) + \delta'(X^2) G(X) = 0$ has the more general solution $(G)_{X^2=0} = 0$ and $(F - \tilde{G})_{X^2=0} = 0$, rather than merely $(F)_{X^2=0} = 0$ for the second equation. Here \tilde{G} is the remainder when one writes $G = G_0 + X^2 \tilde{G}$. So generally F need not vanish on its own. However, in the present case we have already argued that $\Phi = \Phi_0$ since the remainder drops out. Therefore the two terms do vanish separately.

We see that this is an equation that determines the remainder \tilde{B} in terms of B_0, Φ_0 , without fixing the dynamics of B_0 at all. So there remains one fully undetermined function among the B_0, \tilde{B} . This is of course related to the b -symmetry given in Eq.(2.11). Using the b -symmetry we can choose the function B_0 at will. As in [13] we make the convenient gauge choice $B_0 = \gamma\Phi_0$ where γ is an overall constant to be determined consistently. In that case the remainder \tilde{B} must be determined from Eq.(2.20) after inputting $B_0 = \gamma\Phi_0$ and recalling the dynamical equation for Φ_0 up to the proportionality constant $\gamma [\partial^2\Phi_0 - V'(\Phi_0)]_{X^2=0} = 0$. After using $\Phi V''(\Phi) = \frac{d+2}{d-2}V'(\Phi)$ as given in Eq.(2.17) we obtain \tilde{B}

$$B_0 = \gamma\Phi_0, \quad 4\left(X \cdot \partial + \frac{d+2}{2}\right)\tilde{B} = \left(\frac{4\gamma}{d-2} + a\right)V'(\Phi_0). \quad (2.21)$$

Finally, we can insert the fully fixed B_0, \tilde{B} as well as $\Phi = \Phi_0$ into the action and obtain an action purely in terms of Φ_0

$$S(\Phi_0) = \int d^{d+2}X \left[\left(B_0 + X^2\tilde{B}\right) \left\{ \frac{\delta(X^2)(\partial^2\Phi_0 - V'(\Phi_0))}{+4\delta'(X^2)(X \cdot \partial + \frac{d+2}{2})\Phi_0} \right\} - \delta(X^2)aV(\Phi_0) \right] \quad (2.22)$$

$$= \int d^{d+2}X \left[\delta(X^2) \left\{ \begin{aligned} &B_0(\partial^2\Phi_0 - V'(\Phi_0)) - aV(\Phi_0) \\ &+ 4\Phi_0(X \cdot \partial + \frac{d+2}{2})\tilde{B} \end{aligned} \right\} \right. \quad (2.23)$$

$$\left. + 4\delta'(X^2)B_0(X \cdot \partial + \frac{d+2}{2})\Phi_0 \right] \\ = \int d^{d+2}X \delta(X^2) \left[\gamma\Phi_0\partial^2\Phi_0 + \left(a - \gamma\frac{d-6}{d-2}\right)\Phi_0V'(\Phi_0) - aV(\Phi_0) \right] \quad (2.24)$$

Eq.(2.22) is the original action Eq.(2.10) rewritten in terms of the components Φ_0, B_0, \tilde{B} . The form in Eq.(2.23) follows after using $X^2\delta(X^2) = 0$ and $X^2\delta'(X^2) = -\delta(X^2)$, and performing an integration by parts in the middle term to get the structure $(X \cdot \partial + \frac{d+2}{2})\tilde{B}$. Inserting the gauge fixed B_0, \tilde{B} we get Eq.(2.24), where the $\delta'(X^2)$ term of Eq.(2.23) becomes the total derivative $2\gamma\partial_M(X^M\delta'(X^2)\Phi_0^2)$ and drops out in this gauge.

We must require that the equation of motion for Φ_0 that follows from this gauge fixed action be the same as $\partial^2\Phi_0 - V'(\Phi_0) = 0$ as given by the original action. For this to be the case, the coefficient γ that had appeared in the gauge fixing $B_0 = \gamma\Phi_0$ must be determined self-consistently in terms of the constant a as $\gamma = -\frac{d-2}{4}a$, so that the potential energy terms in Eq.(2.24) sum up to being $-2\gamma V(\Phi)$ and match the normalization of the kinetic terms as follows

$$S(\Phi) = 2\gamma \int d^{d+2}X \delta(X^2) \left[\frac{1}{2}\Phi\partial^2\Phi - \lambda\frac{d-2}{2d}\Phi^{\frac{2d}{d-2}} \right]. \quad (2.25)$$

The potential energy $V(\Phi)$ has now been fixed uniquely up to an overall coupling constant as the monomial

$$V(\Phi) = \lambda\frac{d-2}{2d}\Phi^{\frac{2d}{d-2}}. \quad (2.26)$$

The constant 2γ is an overall normalization factor that will be absorbed later into the normalization of the volume in $(d-1)+1$ dimensions.

In our derivation the Φ in the action of Eq.(2.25) was strictly Φ_0 . But we can add a remainder to $\Phi_0 + X^2\tilde{\Phi}$ without changing the physics, as long as the remainder is homogeneous and satisfies $(X \cdot \partial + \frac{d+2}{2})\tilde{\Phi} = 0$. Then the full Φ satisfies $(X \cdot \partial + \frac{d-2}{2})\Phi = 0$ when it is on shell. We have the freedom to add a homogeneous remainder because the action in Eq.(2.25), including the homogeneous remainder but off shell Φ_0 , has a leftover gauge symmetry $\delta\Phi = X^2\Lambda$ as long as Λ is homogeneous $(X \cdot \partial + \frac{d+2}{2})\Lambda = 0$. To demonstrate this symmetry observe the general variation of the action in Eq.(2.25)

$$\delta S(\Phi) = 2\gamma \int d^{d+2}X \delta(X^2) \left\{ \delta\Phi \left(\frac{1}{2}\partial^2\Phi - V'(\Phi) \right) + \frac{1}{2}\Phi\partial^2(\delta\Phi) \right\} \quad (2.27)$$

$$= 2\gamma \int d^{d+2}X \delta\Phi \left\{ \delta(X^2) \left(\frac{1}{2}\partial^2\Phi - V'(\Phi) \right) + \frac{1}{2}\partial^2(\delta(X^2)\Phi) \right\} \quad (2.28)$$

$$= 2\gamma \int d^{d+2}X \delta\Phi \left\{ \begin{array}{l} \delta(X^2) [\partial^2\Phi - V'(\Phi)] \\ + 2\delta'(X^2) [X \cdot \partial\Phi + \frac{d-2}{2}\Phi] \end{array} \right\}, \quad (2.29)$$

If we substitute the gauge transformation $\delta\Phi = X^2\Lambda$ in Eq.(2.29) we get the gauge variation $\delta_\Lambda S(\Phi)$ which becomes

$$\delta_\Lambda S(\Phi) = 2\gamma \int d^{d+2}X \Lambda \delta(X^2) \left[X \cdot \partial\Phi + \frac{d-2}{2}\Phi \right] \quad (2.30)$$

$$= 2\gamma \int d^{d+2}X \partial_M(X^M \Lambda \Phi \delta(X^2)) = 0. \quad (2.31)$$

In the first line we have already dropped a term due to $X^2\delta(X^2) = 0$ and used $X^2\delta'(X^2) = -\delta(X^2)$. The resulting form is a total divergence as given in the second line as long as Λ is homogeneous $(X \cdot \partial + \frac{d+2}{2})\Lambda = 0$.

Furthermore, the action in Eq.(2.25), including the homogeneous remainder, has a leftover b -symmetry $\delta_b\Phi$ given in Eq.(2.11) as long as the b parameter is homogeneous $(X \cdot \partial + \frac{d-2}{2})b = 0$. In that case, from Eq.(2.29) we derive

$$\delta_b S(\Phi) = \gamma(d-2) \int d^{d+2}X \delta(X^2) b \left[\Phi V''(\Phi) - \frac{d+2}{d-2} V'(\Phi) \right] \quad (2.32)$$

So, requiring the symmetry $\delta_b S(\Phi) = 0$ fixes the potential uniquely.

In conclusion, in the gauge fixed action in Eq.(2.25) we can allow any Φ whose remainder $\tilde{\Phi}$ has the homogeneity property stated. Of course this permits gauge fixing off-shell all the way to $\Phi = \Phi_0$ if so desired, but we will rather keep the homogeneous gauge freedom as the remainder⁷ of the Λ and b symmetries.

⁷ Note that the last coefficient in Eq.(2.29) is $2\delta'(X^2)$ and not $4\delta'(X^2)$. If it had been $4\delta'(X^2)$ there would have been a greater symmetry with arbitrary Λ and arbitrary b rather than homogeneous Λ , and homogeneous b . Of course, the original action in Eq.(2.10) has the greater symmetry before gauge fixing.

Now we show that the action in Eq.(2.25) is adequate to generate both the kinematic and dynamical equations of motion. Using Eq.(2.29) we impose the variational principle $\delta S(\Phi) = 0$ which gives

$$\delta(X^2) [\partial^2 \Phi - V'(\Phi)] + 2\delta'(X^2) \left[X \cdot \partial \Phi + \frac{d-2}{2} \Phi \right] = 0. \quad (2.33)$$

This results in *two different equations on Φ , not just one* because the coefficients of both $\delta(X^2)$ and $\delta'(X^2)$ must vanish separately. By contrast in an ordinary field theory the variation of a single field would result in a single equation. This is one of the crucial observations that was not appreciated in our previous attempts to construct an action principle that gave both equations of motion.

Being careful as explained in footnote (6), the coefficients of $\delta(X^2)$ and $\delta'(X^2)$ that vanish are

$$\left[\partial^2 \Phi_0 - V'(\Phi_0) + \left(X \cdot \partial + \frac{d+2}{2} \right) \tilde{\Phi} \right]_{X^2} = 0, \quad \left(X \cdot \partial + \frac{d-2}{2} \right) \Phi_0 = 0. \quad (2.34)$$

The resulting equations are precisely the desired ones, provided $\tilde{\Phi}$ is homogeneous, $(X \cdot \partial + \frac{d+2}{2}) \tilde{\Phi} = 0$ as is the case in our gauge fixed action as explained above. In that case we can write the equations of motion without splitting Φ into components in the form

$$\left(X \cdot \partial + \frac{d-2}{2} \right) \Phi = 0 \text{ and } \partial^2 \Phi - V'(\Phi) = 0. \quad (2.35)$$

We have made the point that it is crucially important that it is understood that the action $S(\Phi)$ in Eq.(2.25) is a gauge fixed version of the original action Eq.(2.10) that contains only the gauge fixed Φ up to an arbitrary homogeneous remainder, rather than the most general remainder $\tilde{\Phi}$. For the most general remainder $\tilde{\Phi}$ the action in Eq.(2.25) would give the wrong dynamical equation.

Therefore, the correct action is either the simplified form Eq.(2.25) with the gauge fixed Φ up to a homogeneous remainder that corresponds to remaining gauge freedom, or it is the more general gauge invariant form in Eq.(2.10) that includes all the degrees of freedom in Φ as well as those of B . Recall that the b -gauge symmetry uniquely determined the interaction $V(\Phi)$.

The advantage of the gauge fixed version in Eq.(2.25) is its simplicity in terms of a single field Φ , but we must point out a subtle feature. To arrive at the two equations of motion from this gauge fixed action, we note that we have applied a slightly unconventional variational approach. Specifically, note that two equations follow from the fact that the general variation $\delta \Phi = \delta \Phi_0 + X^2 \delta \tilde{\Phi}$ contains two general variational parameters $\delta \Phi_0, \delta \tilde{\Phi}$, in which neither $\delta \Phi_0$ nor $\delta \tilde{\Phi}$ are homogeneous, although the remainder $\tilde{\Phi}$ is required to be homogeneous after the variation. The unconventional part is the requirement of a homogeneous $\tilde{\Phi}$ (as determined in our gauge fixing discussion), but a general $\delta \tilde{\Phi}$ to yield the second equation for Φ_0 , namely $(X \cdot \partial + \frac{d-2}{2}) \Phi_0 = 0$. By taking a homogeneous $\tilde{\Phi}$ but a general $\delta \tilde{\Phi}$ we have devised a tool to keep track of the effects of the 2T gauge symmetry off-shell, whose utility is demonstrated in Eq.(2.32), and which will

come in handy in later investigations. The alternative to the above is to take from the beginning a gauge fixed action instead of Eq.(2.25) with a homogeneous Φ_0 as well as homogeneous $\delta\Phi_0$, and have no $\tilde{\Phi}, \delta\tilde{\Phi}$ at all, however in so doing we completely lose track of the 2T gauge symmetry.

There is another physically equivalent gauge fixed form of the action that makes the underlying $\text{Sp}(2, R)$ symmetry more evident. This is given in Appendix A.

We have now shown that the field $\Phi(X)$ described by our action satisfies precisely the same free field equations of motion in Eqs.(2.9) that follow from the $\text{Sp}(2, R)$ constraints, plus consistent interactions. So the physical degrees of freedom and gauge symmetries of the 2T spinless free particle are correctly described by our action principle. In addition we have introduced a gauge principle that leads to unique self interactions.

In this process we have also discovered a new gauge symmetry that we will call the 2Tgauge-symmetry. This includes both the Λ and the b gauge symmetries. These gauge symmetries are responsible for removing gauge degrees of freedom, and identify the physical field as $\Phi_0(X) = [\Phi(X)]_{X^2=0}$. We will see that the 2Tgauge-symmetry persists in the presence of all interactions of the field. Furthermore, for each field in the theory there is an extension of this symmetry, so it is a rather general symmetry that dictates the structure of the action.

3. Interactions among several scalars

Let us now describe interactions among several scalar fields. For convenience we will do this in the gauge fixed version⁸ by using directly $\Phi^i = \Phi_0^i$ for all the fields labeled by $i = 1, 2, \dots$. However, for brevity we will omit the zero subscript in Φ_0^i . We identify as $S_0(\Phi^i) = -\frac{1}{2}Z \int d^{d+2}X \delta(X^2) \Phi^i \partial^2 \Phi^i$ the quadratic part of the action in Eq.(2.25) at zero coupling constant. The interaction term is then identified as

$$S_1(\Phi^i) = - \int d^{d+2}X \delta(X^2) V(\Phi^i). \quad (2.36)$$

The b -symmetry requires $V(\Phi^i)$ to be overall homogeneous of degree $\frac{2d}{d-2}$. For example, if there are two scalar fields, say $\Phi(X)$ and $H(X)$, the total action must be taken in the form

$$S(\Phi, H) = S_0(\Phi) + S_0(H) - \int d^{d+2}X \delta(X^2) V(\Phi, H). \quad (2.37)$$

where the allowed potential energy can only be of the form

$$V(\Phi, H) = \lambda_\phi \Phi^{\frac{2d}{d-2}} + \lambda_H H^{\frac{2d}{d-2}} + \sum_{k,l} \lambda_{kl} \Phi^k H^l \delta_{k+l-\frac{2d}{d-2}}. \quad (2.38)$$

⁸ The fully gauge invariant version must have a $B^i(X)$ field corresponding to each $\Phi^i(X)$. Furthermore, there is a separate Λ^i and b^i gauge parameter for each i . After the gauge fixing procedure described in the previous section for each i we end up in the physical sector without the $B^i(X)$, and only with the $\Phi^i(X)$ whose remainder $\tilde{\Phi}^i(X)$ is gauge fixed to be homogeneous.

The coupling constants are all dimensionless in any dimension. Note that in four dimensions $d = 4$ only quartic interactions are allowed $V(\Phi, H) = \lambda_\phi \Phi^4 + \lambda_H H^4 + \sum_{k,l} \lambda_{kl} \Phi^k H^l \delta_{k+l-4}$. No quadratic mass terms with dimensionful constants can be included. This will impact our discussion of mass generation as will be seen below.

It is possible to modify the rigid result on the form of the potential discussed above by modifying the action with another type of term that includes $\delta'(X^2)$ instead of only $\delta(X^2)$. To illustrate this consider again the single field case and include an additional term in the action of the form

$$S_2(\Phi) = - \int d^{d+2}X \delta'(X^2) W(\Phi). \quad (2.39)$$

The equations of motion as well as the gauge symmetries are altered with the total action

$$S_{tot}(\Phi) = S_0(\Phi) + S_1(\Phi) + S_2(\Phi). \quad (2.40)$$

Therefore the final potential $V(\Phi)$ could be different. After a few trials with a few such functions it becomes clear that the resulting equations of motion may have only trivial solutions except for special combinations of V and W that must be chosen consistently to avoid a trivial system. So far we have found only one very simple non-trivial case, given by a quadratic $W = \frac{1}{2}a\Phi^2$. This changes the kinematic equation for Φ , and requires Φ to be homogeneous $\Phi(tX) = t^{k(a)}\Phi(X)$ with a degree $k(a)$ that depends on the constant a . Consistent with the new homogeneity degree of Φ , the potential energy is again a monomial $V(\Phi) \sim \Phi^{p(a)}$ with a new power $p(a)$ so that $V(\Phi)$ has total homogeneity degree $-d$ just as before. Thus, the power $p(a)$ of the monomial in the potential $V(\Phi)$ can be changed arbitrarily as a function of the coefficient a in $W = \frac{1}{2}a\Phi^2$. Currently we do not know of other examples for which the coupled kinematic and dynamical equations have non-trivial solutions for other functionals $W(\Phi)$.

It has now become clear that $V(\Phi)$ could be altered by tinkering with the additional term $W(\Phi)$. However, it is not a priori clear what forms of $V(\Phi)$ exist consistently with the coupled differential equations.

If there is more than one scalar, such as Φ, H , then the system of equations derived from $W(\Phi, H)$ and $V(\Phi, H)$ gets more complicated. A general study of which forms of $V(\Phi)$ or $V(\Phi, H)$ can consistently be found through this procedure is not currently available. We will return to this topic when we discuss the mass generation mechanism in the Standard Model.

B. Spinor Field

1. Free field equations for fermions

For particles of spin 1/2 on the worldline the phase space (X^M, P^M, ψ^M) includes the anti-commuting fermions ψ^M . The worldline gauge symmetry acting on phase space is enlarged from

$\text{Sp}(2, R)$ to the supergroup $\text{OSp}(1|2)$ [2]. The generators of this symmetry in the flat background are proportional to X^2 , P^2 , $(X \cdot P + P \cdot X)$, $X \cdot \psi$, $P \cdot \psi$. The quantized fermions ψ^M form a Clifford algebra and therefore are represented by gamma matrices⁹ Γ^M , $\bar{\Gamma}^M$ acting on the two chiral spinors of $\text{SO}(d, 2)$ (assuming even d). The gamma matrices satisfy $\Gamma^M \bar{\Gamma}^N + \Gamma^N \bar{\Gamma}^M = 2\eta^{MN}$ which is equivalent to the quantization rules for ψ^M .

The physical states $|\Psi\rangle$ correspond to the gauge invariant subset of states on which all of the $\text{OSp}(1|2)$ generators vanish. It is sufficient to impose $X \cdot \psi|\Psi\rangle = P \cdot \psi|\Psi\rangle = 0$ because the remaining constraints follow from these. The chiral field $\hat{\Psi}_\alpha^L(X)$ is defined as the probability amplitude of a physical state in position and spinor space $\hat{\Psi}_\alpha^L(X) = \langle X, \alpha | \Psi \rangle$. The second chiral spinor field $\hat{\Psi}_\alpha^R(X) = \langle X, \alpha | \Psi \rangle$ is associated with the second spinor labeled with α instead of $\dot{\alpha}$. The number of components of each chiral spinor of $\text{SO}(d, 2)$ is $2^{\frac{d}{2}}$. In the case of $d+2=6$ these 4-component spinors form the two fundamental representations of $\text{SU}(2, 2) = \text{SO}(4, 2)$.

Both chiral spinors must satisfy the physical state conditions. Thus, defining $\hat{\Psi}_\alpha \equiv \hat{\Psi}_\alpha^L$, it satisfies

$$\left(\not{X}\hat{\Psi}\right)_\alpha = 0, \left(\not{\partial}\hat{\Psi}\right)_\alpha = 0, \hat{\Psi}_\alpha(X) = \text{chiral spinor of } \text{SO}(d, 2). \quad (2.41)$$

Note that the gamma matrices $(\Gamma^M)_\alpha^{\dot{\beta}}$ have the labels $\alpha, \dot{\beta}$ of both chiral spinors of $\text{SO}(d, 2)$. We use the notation $\not{\partial} \equiv \Gamma^M \partial_M$, $\not{X} \equiv \Gamma^M X_M$, and similarly $\overline{\not{\partial}} \equiv \bar{\Gamma}^M \partial_M$, $\overline{\not{X}} \equiv \bar{\Gamma}^M X_M$.

The general solution of $\not{X}\hat{\Psi} = 0$ is

$$\hat{\Psi}(X) = \delta(X^2) \overline{\not{X}} \Psi(X), \quad (2.42)$$

where we have used $\not{X}\overline{\not{X}} = X^2$ and $X^2 \delta(X^2) = 0$. Here $\Psi_\alpha(X)$ (without the hat and with α instead of $\dot{\alpha}$) is labeled like the other chiral spinor of $\text{SO}(d, 2)$.

⁹ An explicit form of $\text{SO}(d, 2)$ gamma matrices in even dimensions labelled by $M = 0', 1', \mu$ and $\mu = 0, i$, is given by $\Gamma^{0'} = -i\tau_1 \times 1$, $\Gamma^{1'} = \tau_2 \times 1$, $\Gamma^0 = 1 \times 1$, $\Gamma^i = \tau_3 \times \gamma^i$, where γ^i are the $\text{SO}(d-1)$ gamma matrices. It is convenient to use a lightcone type basis by defining $\Gamma^{\pm'} = \frac{1}{\sqrt{2}}(\Gamma^{0'} \pm \Gamma^{1'}) = -i\sqrt{2}\tau^\pm \times 1$. The $\bar{\Gamma}^M$ are the same as the Γ^M for $M = \pm', i$, but for $M = 0$ we have $\bar{\Gamma}^0 = -\Gamma^0 = -1 \times 1$. From these we construct the traceless Γ^{MN} as $\Gamma^{+'-'} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$, $\Gamma^{+' \mu} = i\sqrt{2} \begin{pmatrix} 0 & \bar{\gamma}^\mu \\ 0 & 0 \end{pmatrix}$, $\Gamma^{-' \mu} = -i\sqrt{2} \begin{pmatrix} 0 & 0 \\ \gamma^\mu & 0 \end{pmatrix}$, $\Gamma^{\mu\nu} = \begin{pmatrix} \bar{\gamma}^{\mu\nu} & 0 \\ 0 & \gamma^{\mu\nu} \end{pmatrix}$, where $\gamma_\mu = (1, \gamma^i)$, $\bar{\gamma}_\mu = (-1, \gamma^i)$, noting the lower μ indices. Then $\frac{1}{2}\Gamma_{MN}J^{MN} = -\Gamma^{+'-'}J^{+'-'} + \frac{1}{2}J_{\mu\nu}\Gamma^{\mu\nu} - \Gamma^{+' \mu}J^{-' \mu} - \Gamma^{-' \mu}J^{+' \mu}$ takes an explicit matrix form. We can further write $\gamma^1 = \sigma^1 \times 1$, $\gamma^2 = \sigma^2 \times 1$ and $\gamma^r = \sigma^3 \times \rho^r$, where the ρ^r are the gamma matrices for $\text{SO}(d-3)$. It is possible to choose hermitian ρ^r . In $d = 4$ the ρ^r are replaced just by the number 1 and then the $\gamma_\mu, \bar{\gamma}_\mu$ are just 2×2 Pauli matrices. These gamma matrices are consistent with the metric in spinor space $\eta = -i\tau_1 \times 1 \times 1 = \Gamma^{0'} = \bar{\Gamma}^{0'}$ that is used to construct the contravariant spinor $\overline{\Psi}_{L,R} \equiv \Psi_{L,R}^\dagger \eta$. The metric η has the properties $\eta(\Gamma^M)\eta^{-1} = -(\bar{\Gamma}^M)^\dagger$ and $\eta\Gamma^{MN}\eta^{-1} = -(\Gamma^{MN})^\dagger$ or equivalently $(\eta\Gamma^M) = (\eta\bar{\Gamma}^M)^\dagger$ and $(\eta\Gamma^{MN}) = (\eta\bar{\Gamma}^{MN})^\dagger$. Therefore we have the hermiticity properties $(\overline{\psi_{1L}}\Gamma^M\psi_{2R})^\dagger = \overline{\psi_{2R}}\bar{\Gamma}^M\psi_{1L}$ and $(\overline{\psi_{1L}}\Gamma^{MN}\psi_{2L})^\dagger = \overline{\psi_{2L}}\Gamma^{MN}\psi_{1L}$. We can also define the charge conjugation matrix C by $C = \tau_1 \times \sigma_2 = -\tilde{C}\bar{\Gamma}^{0'}$, with $\tilde{C} \equiv C\Gamma^{0'} = -1 \times i\sigma_2$. The property of C is such that $C\Gamma^M C^{-1} = (\Gamma^M)^T$, $C\bar{\Gamma}^M C^{-1} = (\bar{\Gamma}^M)^T$ and $C\Gamma^{MN} C^{-1} = -(\bar{\Gamma}^{MN})^T$, $C\bar{\Gamma}^{MN} C^{-1} = -(\Gamma^{MN})^T$. Then $C\Gamma^M$ are antisymmetric matrices and group theoretically corresponds to $(4 \times 4)_{\text{antisymmetric}} = 6$ for $\text{SU}(2, 2)$ representations.

Next we examine the second equation $\not{\partial}\hat{\Psi} = 0$ which can be put into several forms as follows

$$0 = \not{\partial}\hat{\Psi} = \not{\partial} [\delta (X^2) \overline{\not{X}}\Psi] = \delta (X^2) [\not{\partial} (\overline{\not{X}}\Psi) - 2\Psi] \quad (2.43)$$

$$= \delta (X^2) \left[-\not{X}\overline{\not{\partial}}\Psi + 2 \left(X \cdot \partial + \frac{d}{2} \right) \Psi \right] \quad (2.44)$$

$$= \delta (X^2) \left[\left(\frac{1}{2i} \Gamma^{MN} L_{MN} + \frac{d}{2} \right) \Psi + \left(X \cdot \partial + \frac{d}{2} \right) \Psi \right]. \quad (2.45)$$

In the first line we have used $\partial_M \delta (X^2) = 2X_M \delta' (X^2)$ and $2\delta' (X^2) \not{X} \overline{\not{X}} = 2\delta' (X^2) X^2 = -2\delta (X^2)$ to obtain an overall delta function. In the second line we changed the order $\overline{\not{\partial}} \not{X} = -\overline{\not{X}} \not{\partial} + 2X \cdot \partial + d + 2$. In the third line we have used the definition $\Gamma^{MN} = \frac{1}{2} (\Gamma^M \bar{\Gamma}^N - \Gamma^N \bar{\Gamma}^M)$, while $L^{MN} = -i (X^M \partial^N - X^N \partial^M)$ is the $\text{SO}(d, 2)$ orbital angular momentum. The structure $\frac{1}{2i} \Gamma^{MN} L_{MN} = -\Gamma^{MN} X_M \partial_N = -\not{X} \overline{\not{\partial}} + X \cdot \partial$ can be regarded as the analog of spin-orbit coupling, where the $\text{SO}(d, 2)$ spin angular momentum is given by $S^{MN} = \frac{1}{2i} \Gamma^{MN}$. As we saw in the case of the scalar in Appendix A, the appearance of L^{MN} is naturally expected from the point of view of $\text{Sp}(2, R)$ symmetry.

By applying $\overline{\not{X}}$ on Eq.(2.44) we can derive a homogeneity condition for Ψ as follows

$$0 = \delta (X^2) \left[-\overline{\not{X}} \not{X} \overline{\not{\partial}}\Psi + 2\overline{\not{X}} \left(X \cdot \partial + \frac{d}{2} \right) \Psi \right] \quad (2.46)$$

$$= 2\delta (X^2) \left(X \cdot \partial + \frac{d+2}{2} \right) (\overline{\not{X}}\Psi), \quad (2.47)$$

where we have used $\overline{\not{X}} \not{X} \delta (X^2) = X^2 \delta (X^2) = 0$. According to the last line $(\overline{\not{X}}\Psi)$ is homogeneous of degree $-\frac{d+2}{2}$. Then from Eq.(2.43) we learn that $\Psi_\alpha = \frac{1}{2} \not{\partial} (\overline{\not{X}}\Psi)$ is homogeneous of degree $-\frac{d}{2}$ since the right hand side has this homogeneity degree. This requires the second terms in Eqs.(2.44,2.45) to vanish, hence the two terms of Eqs.(2.44,2.45) vanish independently¹⁰. Therefore we learn that the $\text{OSp}(1|2)$ gauge invariance conditions of Eq.(2.41) require Ψ_α to satisfy the following equations

$$\left[\left(X \cdot \partial + \frac{d}{2} \right) \Psi_\alpha \right]_{X^2=0} = 0, \quad [\not{X} \overline{\not{\partial}}\Psi]_{X^2=0} = 0 \quad \text{or} \quad \left[\left(\frac{1}{2i} \Gamma^{MN} L_{MN} + \frac{d}{2} \right) \Psi \right]_{X^2=0} = 0. \quad (2.48)$$

The derivatives must be taken before the condition $X^2 = 0$ is imposed.

If the expression $2 \left(X \cdot \partial + \frac{d}{2} \right) \Psi$ that appears in Eq.(2.44) had occurred with a different coefficient than 2, the same arguments can still be given to prove that the two terms in Eqs.(2.44,2.45) separately vanish. This remark allows us to drop the parameter α mentioned after Eq.(2.50) below, which seems to play no role.

¹⁰ If the expression $2 \left(X \cdot \partial + \frac{d}{2} \right) \Psi$ that appears in Eq.(2.44) had occurred with a different coefficient than 2, the same arguments can still be given to prove that the two terms in Eqs.(2.44,2.45) separately vanish. This remark allows us to drop the parameter α mentioned after Eq.(2.50) below, which seems to play no role.

This analysis is performed independently for the two spinors $\hat{\Psi}_\alpha^L = \delta(X^2) (\overline{\mathcal{X}}\Psi^R)_\alpha$ and $\hat{\Psi}_\alpha^R = \delta(X^2) (\overline{\mathcal{X}}\Psi^L)_\alpha$. So, the free field equations for the two chiral fermions of $\text{SO}(d, 2)$ are of the form of Eq.(2.48), except for interchanging $\Gamma^M \leftrightarrow \bar{\Gamma}^M$ to describe the L, R sectors.

The first equation in (2.48) is the kinematical equation and the second is the dynamical equation. Both will be derived from an action in the next subsection, and consistent interactions will be introduced after that.

These 2T-physics equations for chiral fermions in $d + 2$ dimensions [12] coincide with the equations proposed by Dirac [15] for $d + 2 = 6$. So it has been known for a long time that they reproduce the massless Dirac equation in $d = 4$. More precisely, from $\Psi^L(X)$ we reproduce the massless Weyl equation for a left handed $\text{SL}(2, C)$ spinor and from $\Psi^R(X)$ we reproduce the massless Weyl equation for a right handed $\text{SL}(2, C)$ spinor. We will return to this detail explicitly when we derive the Standard Model in $3 + 1$ dimensions from the one in $4 + 2$ dimensions.

For the application to the Standard Model it is essential to classify the left/right handed chiral fermions differently under $\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$. This is why we were careful in our analysis above to distinguish between left/right spinors directly in $d + 2$ dimensions.

One may ask how do we do away with the extra spinor components in going from the 4-component $\text{SU}(2, 2)$ spinors $\Psi_\alpha^L, \Psi_\alpha^R$ in six dimensions, to the 2-component $\text{SL}(2, C)$ chiral spinors in four dimensions. The explanation is rooted in the 2Tgauge-symmetry extended to fermions. The following fermionic gauge symmetry was first noted in [12]

$$\delta_\zeta \Psi^L = X^2 \zeta_1^L + \mathcal{X} \zeta_2^R, \quad \delta_\zeta \Psi^R = X^2 \zeta_1^R + \overline{\mathcal{X}} \zeta_2^L, \quad \zeta_{1,2}^{L,R} = \text{SO}(d, 2) \text{ fermionic spinors.} \quad (2.49)$$

The easiest way to notice this symmetry is through Eq.(2.42), where it is evident that the transformations above leave the physical states $\hat{\Psi}^L = \delta(X^2) \overline{\mathcal{X}}\Psi^R$ or $\hat{\Psi}^R = \delta(X^2) \overline{\mathcal{X}}\Psi^L$ invariant. One may follow the symmetry down to the equations (2.48) written in terms of Ψ (rather than $\hat{\Psi}$) where it is sufficient to have homogeneous $\zeta^{L,R}$. This symmetry will be an automatic fundamental symmetry in the fermion action proposed below.

Note that in the discussion above the gauge parameters $\zeta_{1,2}^{L,R}$ are independent. When we introduce all the fermions in the Standard Model, each chiral fermion will naturally have its own independent local fermionic symmetry parameters $\zeta_{1,2}^{L,R}$ with their $\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$ charges identical to those of the corresponding fermion. So the fermionic 2Tgauge-symmetry will be just large enough to remove all fermionic gauge degrees of freedom. This will be a symmetry that is elegantly built in the structure of the action for fermions.

The fermionic 2Tgauge-symmetry can eliminate only half of the components in each $\Psi^{L,R}$ because, despite appearances, $\mathcal{X}\zeta_2^R, \overline{\mathcal{X}}\zeta_2^L$ contain only half as many independent degrees of freedom as $\Psi^{L,R}$. This fact, that will become more evident below by constructing $\mathcal{X}, \overline{\mathcal{X}}$ as explicit matrices, is due to the condition $X^2 = 0$. So, half of the components in each $\Psi^{L,R}(X)$ can be gauge fixed, while their dependence on the $d + 2$ dimensions X^M can be reduced to

$(d-1)+1$ dimensions x^μ by solving the kinematic conditions in (2.48). This leaves the correct physical degrees of freedom for chiral fermions in d dimensions.

Thus, in the application to the Standard Model in the following sections, where we use a specific embedding of d dimensions as given in Eq.(4.1), four component $\text{SO}(4,2)$ spinors $\Psi^{L,R}(X)$ in six dimensions will be equivalent to two component chiral fermions $\psi^{L,R}(x)$ in four dimensions ($\text{SL}(2,C)$ doublets) after eliminating the gauge components of each quark and lepton via fermionic-gauge fixing.

We emphasize that in 2T-physics this reduction to d dimensions is understood as one of the possible gauge choices that provides a holographic image of the $d+2$ dimensional theory in the sense of Fig.1.

2. Free field action for fermions

We now propose the following action whose minimization gives the fermion equations (2.48)

$$S_0(\Psi) = \frac{i}{2} \int (d^{d+2}X) \delta(X^2) \left(\bar{\Psi} \not{X} \not{\partial} \Psi + \bar{\Psi} \overleftarrow{\not{\partial}} \not{X} \Psi \right). \quad (2.50)$$

This action is manifestly hermitian. It is possible to add to $S_0(\Psi)$ the term $\frac{\alpha}{2} \int (d^{d+2}X) \delta(X^2) \bar{\Psi} \left(-\overleftarrow{\not{\partial}} \cdot X + X \cdot \partial \right) \Psi$ with an arbitrary real coefficient α . However, as remarked after Eq.(2.48) above, and after Eq.(2.57) below regarding gauge symmetries, this term does not change any part of the discussion, hence we will suppress α in this paper for simplicity, but it should be kept in mind in future investigations. This action can be rewritten only in terms of L^{MN} as in Eq.(2.45), so we can easily argue that this action is invariant under $\text{Sp}(2, R)$. Here the contravariant $\bar{\Psi}^\alpha$ is defined as $\bar{\Psi} = \Psi^\dagger \eta$ by using the $\text{SU}(2,2)$ metric η given in footnote (9), and we have used the notation $\bar{\Psi} \overleftarrow{\not{\partial}} \equiv \partial_M \bar{\Psi} \Gamma^M$. Upon general variation, this action gives

$$\delta S_0(\Psi) = i \int (d^{d+2}X) \delta \bar{\Psi} \left\{ \frac{1}{2} \delta(X^2) \not{X} \not{\partial} \Psi - \frac{1}{2} \partial_M [\delta(X^2) \Gamma^M \not{X} \Psi] \right\} + h.c. \quad (2.51)$$

$$= i \int (d^{d+2}X) \delta \bar{\Psi} \left\{ \delta(X^2) \left[\frac{1}{2} (\not{X} \not{\partial} - \not{\partial} \not{X}) \Psi + \Psi \right] \right\} + h.c. \quad (2.52)$$

$$= i \int (d^{d+2}X) \delta(X^2) \delta \bar{\Psi} \left[\not{X} \not{\partial} \Psi - \left(X \cdot \partial + \frac{d}{2} \right) \Psi \right] + h.c. \quad (2.53)$$

In the first line an integration by parts has been performed to collect the coefficient of $\delta \bar{\Psi}$, while *h.c.* stands for the Hermitian conjugate term that contains $\delta \Psi$. The last term in the second line comes from taking the derivative of the delta function $-\frac{1}{2} (\partial_M \delta(X^2)) \Gamma^M \not{X} \Psi = -\frac{1}{2} \delta'(X^2) / X \not{X} \Psi = -X^2 \delta'(X^2) \Psi = \delta(X^2) \Psi$, thus obtaining an overall factor of $\delta(X^2)$. To derive the third line we interchange orders $\overleftarrow{\not{\partial}} \not{X} = -\not{X} \not{\partial} + 2X \cdot \partial + d + 2$.

Next we point out the fermionic 2Tgauge-symmetry when we substitute

$$\delta_\zeta \bar{\Psi} = X^2 \bar{\zeta}_1 + \bar{\zeta}_2 \not{X} \quad (2.54)$$

instead of the general variation $\delta\bar{\Psi}$

$$\delta_{\zeta} S_0(\Psi) = -i \int (d^{d+2}X) \delta(X^2) (X^2 \bar{\zeta}_1 + \bar{\zeta}_2 \overline{\mathcal{K}}) \left[\mathcal{K} \overline{\partial} \Psi - \left(X \cdot \partial + \frac{d}{2} \right) \Psi \right] + h.c. \quad (2.55)$$

$$= -i \int (d^{d+2}X) \partial_M [X^M \bar{\zeta}_2 \overline{\mathcal{K}} \Psi \delta(X^2)] + h.c. = 0 \quad (2.56)$$

In the first line the $\delta(X^2) X^2 \bar{\zeta}_1$ terms and the $\delta(X^2) \bar{\zeta}_2 \overline{\mathcal{K}} \mathcal{K} \overline{\partial} \Psi = \delta(X^2) X^2 \bar{\zeta}_2 \overline{\partial} \Psi$ vanish trivially, while the remaining term $\delta(X^2) \bar{\zeta}_2 \overline{\mathcal{K}} (X \cdot \partial + \frac{d}{2}) \Psi$ can be written as the total divergence in the second line provided $\bar{\zeta}_2$ satisfies the homogeneity condition

$$\left(X \cdot \partial \bar{\zeta}_2 + \frac{d+2}{2} \bar{\zeta}_2 \right)_{X^2=0} = 0. \quad (2.57)$$

If the term proportional to α noted following Eq.(2.50) had been included, the same arguments still hold for any α . Note that the symmetry holds off-shell without requiring Ψ or $\bar{\zeta}_1$ to be restricted in any way. By applying the operator $(X \cdot \partial + \frac{d}{2})$ on both sides of Eq.(2.54) and using the homogeneity of $\bar{\zeta}_2$ we note that $(X \cdot \partial + \frac{d}{2}) \delta_{\zeta} \bar{\Psi} = X^2 (X \cdot \partial + \frac{d+4}{2}) \bar{\zeta}_1$ is proportional to X^2 . This implies that, while the off-shell Ψ in general has only pure gauge degrees of freedom in its parts proportional to X^2 (symmetry $X^2 \zeta_1$), only homogeneous parts of the off-shell Ψ with homogeneity degree of $-\frac{d}{2}$ contain gauge degrees of freedom of the type $\overline{\mathcal{K}} \zeta_2$.

We now return to the general $\delta\bar{\Psi}$ and require the variational principle $\delta S_0(\Psi) = 0$. The resulting equation of motion $\delta(X^2) [\mathcal{K} \overline{\partial} \Psi - (X \cdot \partial + \frac{d}{2}) \Psi] = 0$ is identical to Eq.(2.44), which is also equivalent to Eqs.(2.43,2.45) by the same arguments supplied when studying those equations. As we have argued before, the two terms must vanish separately, and therefore the action principle gives the correct equations of motion derived in Eq.(2.48).

Since the on-shell free field is already homogeneous we can eliminate half of its degrees of freedom by fixing the fermionic 2Tgauge-symmetry ζ_2 . Hence our action reproduces the correct degrees of freedom, and the field equations required by the 2T-physics constraints that follow from $\text{OSp}(1|2)$ gauge symmetry, namely $\not{\partial} \hat{\Psi} = 0$, $\mathcal{K} \hat{\Psi} = 0$ with $\hat{\Psi}(X) = \delta(X^2) \overline{\mathcal{K}} \Psi(X)$.

3. Interactions for fermions

The interaction terms for fermions must respect the fermionic 2Tgauge-symmetry as well as the $\text{SO}(d,2)$ invariance. Interaction with gauge fields constructed by replacing ordinary derivatives ∂_M with covariant derivatives $D_M = \partial_M + A_M$ are automatically consistent with these symmetries. The A_M are associated with the adjoint representation of an internal gauge symmetry, and the fermions are in some representation of the gauge group, as usual in non-Abelian gauge theories.

In the application to the Standard Model the A_M are the $\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$ gauge fields,

and the $SU(2, 2) = SO(4, 2)$ fermions $\Psi^{L,R}$ are classified under this internal group exactly like left/right handed quarks and leptons are classified in the usual Standard Model.

The analysis in Eqs.(2.50-2.57) goes through unchanged in every step except for replacing the covariant derivative D_M in all steps instead of ∂_M . Note that this modifies the homogeneity (or kinematic) conditions on the fermionic gauge parameters in Eq.(2.57) to include the gauge field $(X \cdot D + \frac{d+2}{2}) \bar{\zeta}_2 = 0$, since the $\bar{\zeta}_{1,2}$ are classified just like $\bar{\Psi}$ under the gauge group. However, as seen below, it is possible to choose a gauge $X \cdot A = 0$ in which $X \cdot D = X \cdot \partial$ so that in this gauge the kinematic equations revert to the ordinary homogeneity condition.

We now consider interactions with scalars. Due to the fermionic, as well as the $SO(d, 2)$ symmetry, the interactions must have the fermionic structures $\bar{\Psi}^L \not{X} \Psi^R$, $\bar{\Psi}^R \not{\overline{X}} \Psi^L$ coupled to the scalars and multiplied with the delta function

$$S_{int}(\psi, scalars) = \int (d^{d+2}X) \delta(X^2) [\bar{\Psi}^L \not{X} \Psi^R \times (scalars) + h.c.]. \quad (2.58)$$

The group theoretical explanation is as follows. First, these structures are $SO(d, 2)$ invariant because the product of a left spinor and an anti-right spinor makes an invariant when dotted with the vector X^M . For example, for $SO(4, 2) = SU(2, 2)$ which is our main interest, the left spinor Ψ^L is a 4 of $SU(2, 2)$, and the right spinor Ψ^R is a 4^* , while the anti-left spinor $\bar{\Psi}^L$ is again a 4^* . The $SU(2, 2)$ product $4^* \times 4^*$ antisymmetrized is exactly the $SO(4, 2)$ vector in six dimensions. So the structure $\bar{\Psi}^L \Gamma_M \Psi^R$ must be dotted with the vector X^M to make the $SO(d, 2)$ invariant $\bar{\Psi}^L \not{X} \Psi^R$. Second, under the fermionic gauge transformations of Eq.(2.49) the variation of $\bar{\Psi}^L \not{X} \Psi^R$ produces a factor of X^2 , as in

$$\delta_\zeta (\bar{\Psi}^L \not{X} \Psi^R) = (\bar{\zeta}_1^L X^2 + \bar{\zeta}_2^R \not{\overline{X}}) \not{X} \Psi^R + \bar{\Psi}^L \not{X} (X^2 \zeta_1^R + \not{\overline{X}} \zeta_2^L) \quad (2.59)$$

$$= X^2 [(\bar{\zeta}_1^L \not{X} \Psi^R + \bar{\Psi}^L \not{X} \zeta_1^R) + (\bar{\zeta}_2^R \Psi^R + \bar{\Psi}^L \zeta_2^L)]. \quad (2.60)$$

When multiplied with the delta function this vanishes $X^2 \delta(X^2) = 0$. Therefore the interaction $S_{int}(\psi, scalars)$ is invariant under the fermionic 2Tgauge-symmetry.

Now we turn to the internal gauge group to see how to couple the scalars in Eq.(2.58). Let us assume that $\bar{\Psi}^L \not{X} \Psi^R$ is not invariant under the internal gauge group. For example, if we classify $\Psi^{L,R}$ under $SU(3) \times SU(2) \times U(1)$ as in the Standard Model, then $\bar{\Psi}^L \not{X} \Psi^R$ is invariant under $SU(3)$, but transforms as a doublet under $SU(2)$ and has a non-trivial phase under $U(1)$. Therefore to make an invariant we must introduce a complex scalar H that has just the opposite classification under the gauge group to be able to construct a gauge invariant in the form $\bar{\Psi}^L \not{X} \Psi^R H$, exactly like the Higgs in the Standard Model. We will see that, in the reduction from $d+2$ to d dimensions by solving the kinematic equations, this term will become the familiar Yukawa coupling $\bar{\psi}^L \psi^R h$ in d dimensions, and will lead to the correct Yukawa couplings of the Higgs field in the Standard Model in $d = 4$ dimensions.

There is one more point to consider to obtain non-trivial interactions with scalars, if $d \neq 4$. This is not immediately evident from the action, but becomes clear when we examine the

equations of motion. The dynamical fermion equation of motion including interaction with scalars has the form $\not{X}(\bar{\not{\partial}}\Psi^L + H\Psi^R + \dots) = 0$. In addition there are the kinematic equations of motion which demand definite homogeneity for each field. We learned above that, the on-shell, $\Psi^{L,R}$ have homogeneity degree $-d/2$ and the scalar H has homogeneity degree $-(d-2)/2$ (assuming the W term analogous to Eq.(2.39) is zero for the H field). We see that, unless $d = 4$, the homogeneity of $\bar{\not{\partial}}\Psi$ which is $-(d+2)/2$ does not match the homogeneity of the term $H\Psi$ which is $-d+1$. Then each term must vanish separately, and the interaction becomes trivial. To avoid this we need to multiply $H\Psi$ with a factor that establishes the same homogeneity for both terms. Thus, in addition to H we consider another scalar Φ that is neutral under the $SU(3) \times SU(2) \times U(1)$ gauge group. Assuming that Φ has homogeneity degree $-(d-2)/2$, we see that $H\Psi^R\Phi^{-\frac{d-4}{d-2}}$ has degree $-(d+2)/2$, which is the same degree as that of $\bar{\not{\partial}}\Psi^L$. Hence the action that produces nontrivial interactions between fermions and scalars has the form

$$S_{int}(\psi, H, \Phi) = g_H \int (d^{d+2}X) \delta(X^2) (\bar{\Psi}^L \not{X}\Psi^R H + \bar{\Psi}^R \not{X}\Psi^L \bar{H}) \Phi^{-\frac{d-4}{d-2}}, \quad (2.61)$$

with a dimensionless coupling g_H in any dimension. The exponent in $\Phi^{-\frac{d-4}{d-2}}$ would be shifted to another value if $W(\Phi) \neq 0$ in Eq.(2.39), since in that case the homogeneity of Φ would be different, but still some power of Φ will be needed as long as $d \neq 4$. With this form of interaction we also find that the interactions in the dynamical equations of motion for H and Φ are also consistent with their homogeneity. We see here that the role of Φ is similar to the role of a dilaton. In $d = 4$ the Φ disappears and the interaction reduces to a renormalizable Yukawa interaction¹¹.

C. Gauge Field

The gauge field equations of motion can be obtained from two different approaches in 2T-physics. We will not provide the derivation here because it would require too much space, and instead refer to past work. The first approach uses $OSp(2|2)$ as the gauge group on the worldline, that acts linearly on the phase space superquartet $(\psi_1^M, \psi_2^M, X^M, P^M)$ which contains two worldline fermions $\psi_i^M(\tau)$ [2]. In $d+2 = 6$ dimensional flat spacetime, the physical states in the covariant quantization of this theory are precisely the gauge bosons that we need in $d+2 = 6$

¹¹ There are also other invariant couplings that occur in the symmetric product of $(4^* \times 4^*)_s = 10$ of $SU(2, 2)$. This product takes the form $\bar{\Psi}^L \Gamma_{MNK} \Psi^R$ and it can be coupled invariantly to the gauge field and Higgs field in the form $\bar{\Psi}^L \Gamma_{MNK} F^{MN} X^K \Psi^R H$ consistently with the $SU(3) \times SU(2) \times U(1)$ gauge symmetry of the Standard Model. Furthermore this term is invariant also under the fermionic gauge symmetry after taking into account the property of the gauge field $X_M F^{MN} = 0$ given in the next section. So this interaction is permitted by all the symmetries of the theory. However, this term cannot be included in the action of the Standard Model because it leads to a non-renormalizable interaction. Instead, it is expected to arise with a calculable coupling from the quantum effects in the theory, and contribute to the anomalous magnetic moment [28].

dimensions [12]. The second approach is to consider a spinless particle moving in background fields in any dimension $d + 2$, and subject to the $\text{Sp}(2, R)$ gauge symmetry. In this case, phase space contains only (X^M, P^M) but the action of $\text{Sp}(2, R)$ is non-linear in a way that depends on the background fields $\phi^{M_1 M_2 \dots M_s}(X)$ of all integer spins $s = 0, 1, 2, 3, \dots$. The background fields include gauge fields $A_M(X)$. The requirement of the consistent closure of the $\text{Sp}(2, R)$ Lie algebra generates kinematical equations for all background fields, including the gauge fields [3]. The two approaches give the same kinematic equations for A_M , namely

$$X^M F_{MN} = 0, \text{ where } F_{MN} = \partial_M A_N - \partial_N A_M - ig_A [A_M, A_N], \quad (2.62)$$

while also demanding $X^2 = 0$. The dynamical equation follows from the $\text{OSp}(2|2)$ approach for $4 + 2$ dimensions as given in [12], and can be extended¹² to any $d + 2$ dimensions by including a dilatonic factor $\Phi^{\frac{2(d-4)}{d-2}}$

$$\left(D_M \left(\Phi^{\frac{2(d-4)}{d-2}} F^{MN} \right) \right)_{X^2=0} = \text{sources}. \quad (2.63)$$

These equations have been constructed to be covariant under Yang-Mills transformations for some non-Abelian gauge group G , so D_M is the usual covariant derivative. The dilaton factor $\Phi^{\frac{2(d-4)}{d-2}}$ is a singlet under the gauge group G . It disappears in four dimensions, but is non-trivial in dimensions other than $d = 4$. Its role is to provide consistency with the kinematical conditions for all the fields in the theory. Recall that the kinematical equations follows from gauge invariance under the $\text{Sp}(2, R)$ generator $(X \cdot P + P \cdot X)$. The exponent $\frac{2(d-4)}{d-2}$ is determined by homogeneity which comes from this condition, with a reasoning similar to the one that led to the dilaton factor in Eq.(2.61). This exponent would be shifted to another value if $W(\Phi) \neq 0$ in Eq.(2.39), since in that case the homogeneity degree of the dilaton Φ would be different, but the exponent still vanishes if $d = 4$.

In the rest of this section we discuss the new 2Tgauge-symmetry beyond the usual Yang-Mills gauge symmetry G . To do so we will first use G to choose some gauges for the Yang-Mills field, and then discuss the 2Tgauge-symmetry in the fixed Yang-Mills gauge. We could discuss the 2Tgauge-symmetry of the equations of motion without choosing any Yang-Mills gauge, as will be done for the action in the next subsection. However, here we want to take the opportunity to point out some useful gauge choices.

Using the Yang-Mills type gauge invariance we may impose various gauge conditions. In the gauge $X^M A_M = 0$ the kinematic constraint (2.62) reduces to a homogeneity constraint as follows

$$X \cdot A = 0 : X^M F_{MN} = (X \cdot \partial + 1) A_N = 0. \quad (2.64)$$

¹² For general $d + 2$, the quantum spectrum of the $\text{OSp}(2|2)$ theory gives the gauge fields $A_{M_1 \dots M_{p+1}}$ for a p -brane with $p = (d - 4)/2$ [12]. Thus, for a gauge field A_M we can use the $\text{OSp}(2|2)$ setup only for $d = 4$. The background field method does not have this limitation and applies to any d . Thus, after obtaining the appropriate equations for gauge fields, we have managed to extend the gauge field equations to any dimension d by introducing the dilaton factor as in Eq.(2.63).

Note that the homogeneity degree of A_M is -1 , which is the same homogeneity as the derivative ∂_M , consistent with the covariant derivative $D_M = \partial_M + A_M$, in all dimensions. In this gauge the equations above, taken for $d = 4$, agree with Dirac's equations [15] as generalized to non-Abelian gauge fields [18], and so it has been known for a long time that after solving the kinematic equation, the dynamical equation reproduces the correct equations of motion for non-Abelian gauge fields in $3 + 1$ dimensions. We will return to this detail when we discuss the derivation of the Standard Model in $3 + 1$ dimensions from the one in $4 + 2$ dimensions.

Now we turn to the new 2Tgauge-symmetry with the transformation law

$$\delta_a A_M = X^2 a_M \Phi^{-\frac{2(d-4)}{d-2}}, \quad \text{with } [(X \cdot D + d - 1) a_N - X_N D \cdot a]_{X^2=0} = 0, \quad \text{and } X \cdot a = 0. \quad (2.65)$$

The local parameters a_M are Lie algebra valued and must be in the adjoint representation of the Yang-Mills gauge group G to be consistent with the Yang-Mills classification of A_M . We examine the dynamical equation to verify the 2Tgauge-symmetry for on shell fields A_M as follows

$$\delta_a \left\{ D_M \left(\Phi^{\frac{2(d-4)}{d-2}} F^{MN} \right) \right\}_{X^2=0} \quad (2.66)$$

$$= \left\{ -i \Phi^{\frac{2(d-4)}{d-2}} [(\delta_a A_M), F^{MN}] + D_M \left(\Phi^{\frac{2(d-4)}{d-2}} D^{[M} \delta_a A^{N]} \right) \right\}_{X^2=0} \quad (2.67)$$

$$= \left\{ -i X^2 [a_M, F^{MN}] + D_M \left(\Phi^{\frac{2(d-4)}{d-2}} D^{[M} \left(X^2 a^{N]} \Phi^{-\frac{2(d-4)}{d-2}} \right) \right) \right\}_{X^2=0} \quad (2.68)$$

$$= 2 \left\{ D_M [(X^M a^N - X^N a^M)] + X_M (D^M a^N - D^N a^M) + (d - 4) a^N \right\}_{X^2=0} \quad (2.69)$$

$$= 4 \left\{ (d - 1) a^N + X \cdot D a^N - X^N D \cdot a \right\}_{X^2=0} = 0 \quad (2.70)$$

In this computation all terms proportional to X^2 have been dropped after the derivatives are evaluated. In going from the third line to the fourth we have used $X \cdot a = 0$, and $[X \cdot \partial \Phi + \frac{d-2}{2} \Phi]_{X^2=0} = 0$ as in Eq.(2.35), to obtain the term proportional to $d - 4$. This shows that the dilaton factor is required in the dynamical equation of the gauge field Eq.(2.63) in order to have the 2Tgauge-symmetry in all dimensions d . Finally the last line vanishes due to the property of the gauge parameter a_M given in Eq.(2.65).

The new gauge transformation says that the part of A_M proportional to X^2 contains gauge degrees of freedom. If we first identify different parts of the gauge field as

$$A_M(X) = A_M^0(X) + X^2 \tilde{A}_M(X) \quad (2.71)$$

such that $A_M^0(X) \equiv [A_M(X)]_{X^2=0}$, then the remainder $\tilde{A}_M(X)$ can be completely removed from the equations of motion by using this gauge symmetry, provided the on-shell \tilde{A}_M satisfies the same conditions as a_M as given in Eq.(2.65).

Note that the Yang-Mills field strength F_{MN} is not invariant under the new gauge symmetry. But the equation of motion is invariant as seen above, and also the action will be shown to be gauge invariant. The non-invariance of F_{MN} is welcome because this is how the $d + 2$ dimensional theory will contain the same physical information that resides in the field strength $F_{\mu\nu}$ in $(d - 1) + 1$ dimensions.

1. Non-Abelian gauge field action

We now propose the action principle that gives both the kinematical and dynamical equations of motion in Eqs.(2.62,2.63). In the following we will assume that the physical gauge for the 2Tgauge-symmetry discussed in the previous section is already chosen, and build the action starting directly with the physical component $A_M^0(X)$. By comparison to the scalar action, this is analogous to building the action directly for Φ_0 , skipping the fully gauge invariant treatment for $A_M(X)$ that we gave for both the scalar and the fermions. Thus everywhere we write A_M below should be understood as being the gauge invariant part $A_M^0(X) = [A_M(X)]_{X^2=0}$.

The consistent 2T-physics Yang-Mills type action in any dimension is¹³

$$S(A) = -\frac{1}{4} \int (d^{d+2}X) \delta(X^2) \Phi^{\frac{2(d-4)}{d-2}} \text{Tr}(F_{MN}F^{MN}). \quad (2.72)$$

The dilaton factor $\Phi^{\frac{2(d-4)}{d-2}}$ is necessary for the consistency of homogeneous terms in the equations of motion. Evidently, this factor disappears for $d = 4$, which is the case of interest for the application to the Standard Model. The gauge coupling g_A as defined in Eq.(2.62) is dimensionless in any dimension.

The general variation with respect to the gauge field gives

$$\delta S(A) = - \int (d^{d+2}X) \delta(X^2) \Phi^{\frac{2(d-4)}{d-2}} \text{Tr}(F^{MN} D_M(\delta A_N)) \quad (2.73)$$

$$= \int (d^{d+2}X) \text{Tr} \left\{ \delta A_N D_M \left[\Phi^{\frac{2(d-4)}{d-2}} \delta(X^2) F^{MN} \right] \right\} \quad (2.74)$$

$$= \int (d^{d+2}X) \text{Tr} \left\{ \delta A_N \left[\delta(X^2) D_M \left(\Phi^{\frac{2(d-4)}{d-2}} F^{MN} \right) + 2\Phi^{\frac{2(d-4)}{d-2}} \delta'(X^2) X_M F^{MN} \right] \right\}. \quad (2.75)$$

In $d \neq 4$, there is also a contribution to the equations of motion of the “dilaton” Φ , through the variation $\delta\Phi$ which is not shown. The equations of motion that follow from this action include both the kinematical and dynamical equations since the coefficients of $\delta(X^2)$, $\delta'(X^2)$ must vanish separately. There are however subtleties in the delta functions that need to be taken into account as in footnote (6). For this reason the $A(X)$ that appears in this action is already gauge fixed $A_M(X) = A_M^0(X)$, excluding the remainder $\tilde{A}_M(X)$, as emphasized in the beginning of this section. After taking this point into consideration, we see that this action yields precisely the correct equations given in Eqs.(2.62,2.63), so we have the correct action principle for the physical sector $A_M(X) = A_M^0(X)$. Of course, $S(A)$ has already been built to be gauge invariant under

¹³ It is also possible to construct a fully $\text{Sp}(2, R)$ invariant action for gauge fields. This is given in the second part of Appendix A. The point is that every derivative can appear in the form L^{MN} to display fully the invariance under the underlying $\text{Sp}(2, R)$. The physical sector of either treatment is identical.

some Yang-Mills type gauge symmetry group G . For example $G = \text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$ in the application to the Standard Model.

Next we show that we can add to $A_M^0(X)$ a remainder of the form $A_M(X) = A_M^0(X) + X^2 \tilde{A}_M(X)$ without changing the physics, provided $\tilde{A}_M(X)$ satisfies the same equations that \tilde{a}_M satisfies as given in Eq.(2.65). For this we first assume that the action (2.72) is already written for the more general A that includes the special \tilde{A}_M . We will then show that this action has the 2Tgauge-symmetry in which $\tilde{A}_M(X)$ can be changed by arbitrary amounts a_M . Therefore one can choose the gauge $\tilde{A}_M = 0$ if so desired,

Thus consider the transformation $\delta_a A_M$ of Eq.(2.65), and insert it in Eq.(2.73) to show there is a gauge symmetry $\delta_a S(A) = 0$ as follows

$$\delta_a S(A) = \int (d^{d+2}X) \text{Tr} \left\{ \Phi^{-\frac{2(d-4)}{d-2}} X^2 a_N \left[\delta(X^2) D_M \left(\Phi^{\frac{2(d-4)}{d-2}} F^{MN} \right) \right] \right. \\ \left. + 2\Phi^{\frac{2(d-4)}{d-2}} \delta'(X^2) X_M F^{MN} \right\} \quad (2.76)$$

$$= -2 \int (d^{d+2}X) \delta(X^2) \text{Tr} \{ a_N (X \cdot \partial + 1) A^N - a_N D^N (X \cdot A) \} \quad (2.77)$$

$$= -2 \int (d^{d+2}X) \delta(X^2) \text{Tr} \left\{ \left[(X \cdot \partial + 1) (A \cdot a) - D^N (X \cdot A a_N) \right. \right. \\ \left. \left. - A^N X \cdot \partial a_N + (X \cdot A) (D \cdot a) \right] \right\} \quad (2.78)$$

$$= -2 \int (d^{d+2}X) \delta(X^2) \text{Tr} \left\{ \begin{aligned} &(X \cdot \partial + d) (A \cdot a) - \partial_N (X \cdot A a^N) \\ &+ A^N [- (X \cdot D + d - 1) a_N + X_N D \cdot a] \end{aligned} \right\} \quad (2.79)$$

$$= -2 \int (d^{d+2}X) \text{Tr} \left\{ \begin{aligned} &\partial_N [(X^N A \cdot a - X \cdot A a^N) \delta(X^2)] \\ &+ A^N D^M [(X_N a_M - X_M a_N) \delta(X^2)] \end{aligned} \right\} = 0 \quad (2.80)$$

The steps in this calculation are explained as follows. In Eq.(2.76) we use $X^2 \delta(X^2) = 0$ to drop the first term, and then use $X^2 \delta'(X^2) = -\delta(X^2)$ and write out $X_M F^{MN}$ in the form $X_M F^{MN} = (X \cdot \partial + 1) A^N - D^N (X \cdot A)$ to obtain Eq.(2.77). The form in Eq.(2.78) is equivalent to Eq.(2.77) after evaluating the derivatives. To get to Eq.(2.79) we added and subtracted the terms proportional to d , and moved the non-Abelian term in the covariant derivative from the first line to the second line. One can show that this takes the form of Eq.(2.80). Indeed after evaluating the derivatives in Eq.(2.80) we get back Eq.(2.79). Then we note that the expression $D^M [(X_N a_M - X_M a_N) \delta(X^2)]$ vanishes by using the conditions on the gauge parameters a_M given in Eq.(2.65). Finally the total divergence can be dropped.

We see that as long as \tilde{A}_M satisfies the same equation as a_M this gauge symmetry can gauge fix it to zero. Thus the physics is the same for any remainder $X^2 \tilde{A}_M$ of this type. We will see below that, in a special Yang-Mills gauge, the class of allowed remainders $\tilde{A}_M(X)$ are those that are homogeneous of degree -3 .

The Yang-Mills gauge symmetry combined with the new 2Tgauge-symmetry discussed in this section are just sufficient to reduce the degrees of freedom in $A_M(X)$ to be physical and ghost free. The physical degrees of freedom will then agree with the degrees of freedom of the gauge

field $A_\mu(x)$ in $(d-1)+1$ dimensions. This reduction will be discussed in particular for the Standard Model in $3+1$ dimensions in the following sections.

We emphasize that the Yang-Mills field strength F_{MN} is not covariant under the 2Tgauge-symmetry transformation $\delta_a A_M$ as seen in the computations above. Therefore, using the new gauge symmetry it is possible to gauge fix some of the components of F_{MN} at will. We will use this freedom in the reduction from $4+2$ to $3+1$ dimensions to show that only the $3+1$ dimensional components $F_{\mu\nu}(x)$ survive as the physical field strengths.

III. THE STANDARD MODEL IN $4+2$ DIMENSIONS

In the previous section we have constructed the action principle for field theories in the framework of 2T-physics in any $d+2$ dimensions. The theory contains scalars H^i, Φ , left/right handed chiral fermions $\Psi^{L_a}, \Psi^{R_\beta}$ and gauge bosons A_M^r classified according to any gauge group, and can also be extended to include gravity¹⁴ in any $d+2$ dimensions. Among the scalars we distinguish one of them as the dilaton Φ . Although the dilaton factors in Eqs.(2.61,2.72) disappear for $d=4$, the dilaton may still couple to the other scalars H^i as in Eq.(2.38) even if $d=4$, so we keep the dilaton as one of the fields in the theory.

The 2Tgauge-symmetry was derived in a tortuous way by starting from 2T-physics equations of motion based on $\text{Sp}(2, R)$ local symmetry and its extensions on the worldline. However, it is possible to reverse the reasoning and suggest the 2Tgauge-symmetry directly in field theory as one of the principles for building an action. This would then lead to the action suggested above in a unique way. This is the point of view we take in this section.

Thus, in addition to the field theoretic guiding principles for constructing the Standard Model in four dimensions, we add a new one, namely the 2Tgauge-symmetry given by

$$\left. \begin{aligned} \delta_\Lambda \Phi &= X^2 \Lambda, \quad \delta_\Lambda H^i = X^2 \Lambda^i, \\ \delta_b B_\Phi, \delta_b B_{H^i} &\text{ as in Eq.(2.11)} \end{aligned} \right\} i \text{ spans all other scalar fields,} \quad (3.1)$$

$$\left. \begin{aligned} \delta_\zeta \Psi^{L_a} &= X^2 \zeta_1^{L_a} + \not{X} \zeta_2^{R_a}, \\ \delta_\zeta \Psi^{R_\beta} &= X^2 \zeta_1^{R_\beta} + \overline{\not{X}} \zeta_2^{L_\beta}, \end{aligned} \right\} \alpha, \beta \text{ span all fermions,} \quad (3.2)$$

$$\left. \begin{aligned} \delta_a A_M^r &= X^2 a_M^r \Phi^{-\frac{2(d-4)}{d-2}}, \\ \delta_b B_{A_M^r} &\text{ similar to Eq.(2.11)} \end{aligned} \right\} r \text{ spans all gauge bosons.} \quad (3.3)$$

There is a separate 2Tgauge-symmetry parameter for each field¹⁵. Thus, degrees of freedom can

¹⁴ The equations of motion for the gravitational field in 2T-physics is derived in [12]. The 2T action that generates these equations is constructed using the methods of the present paper. This will be given in a separate paper.

¹⁵ The last one, $\delta_b B_{A_M^r}$, is similar to Eq.(2.11). This is the gauge symmetry required in order to allow arbitrary remainders \tilde{A}_M for the gauge field $A_M = A_M^0 + X^2 \tilde{A}_M$. In the presence of this gauge symmetry there would

be removed from every field in $d + 2$ dimensions, such as to make it equivalent to a field in $(d - 1) + 1$ dimensions.

Under the requirement of the 2Tgauge-symmetry the Lagrangian must include an overall $\delta(X^2)$ or $\delta'(X^2)$ factor, and furthermore it acquires the form of the actions given in the previous section. Then the theory must be constructed in $d + 2$ dimensions. The action will not have translation symmetry in $d + 2$ dimensions since X^M appears explicitly through the delta function $\delta(X^2)$ and in the fermion terms, but the action will have $\text{SO}(d, 2)$ symmetry. When the $\text{SO}(d, 2)$ is interpreted as the conformal symmetry in $(d - 1) + 1$ dimensions, which is the case in one of the embeddings of $(d - 1) + 1$ in $d + 2$, then Poincaré invariance, that includes translation invariance and Lorentz invariance in $(d - 1) + 1$ dimensions emerges as part of conformal symmetry. Thus, we take the point of view that the added 2Tgauge-symmetry principle requires the two-time structure in field theory, and this is fully consistent with everything we know in $(d - 1) + 1$ dimensions.

In addition to the 2Tgauge-symmetry there is of course the principles of Yang-Mills gauge symmetry and renormalizability requirements for $d = 4$. The Yang-Mills gauge symmetry is straightforward as discussed in the previous section. By renormalizability, in the present paper, we mean that the emergent 3+1 dimensional field theory should be renormalizable. This amounts to requiring that the emergent theory in four spacetime dimensions, at the classical level, should not contain any terms of dimension larger than four. In turn, this becomes a principle for restricting the types of terms that can be included in the classical 2T-physics field theory in $4 + 2$ dimensions. For example we cannot include high powers of fields in the classical 2T-physics action. Eventually, when we develop the techniques of computation with the quantum theory directly in $4 + 2$ dimensions, we need to replace the requirement of renormalizability to mean the same directly in $4 + 2$ dimensions at the quantum level.

Given the principles stated above we now construct the Standard Model in $4 + 2$ dimensions. The internal Yang-Mills group structure is identical to the usual Standard Model, but the space-time structure is different, thus all the fields are 6-dimensional fields instead of 4-dimensional fields. The 6 dimensional structure will impose certain restrictions on the emergent Standard Model in $3 + 1$ dimensions as outlined in the Abstract. To be completely explicit we write out the details below.

The Yang-Mills gauge group is $G = \text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$, so we have the corresponding Yang-Mills fields $A_M = (G_M, W_M, B_M)$, namely gluons G_M and electroweak gauge bosons (W_M, B_M) . These are in the usual adjoint representations denoted by the dimensions for $\text{SU}(3) \times \text{SU}(2)$ and

be no conditions on the parameters $a_M(X)$. We bypassed this more general setting that would include the additional field $B_{A_M^r}$, and considered the gauge fixed form of the action after the extra field $B_{A_M^r}$ is eliminated by a gauge choice. In this gauge only to a specialized subset of a_M and corresponding \tilde{A}_M play a role as discussed in the text. This was sufficient for our purposes here.

by the charge for U(1) written as a subscript, as follows

$$\text{vectors of SO}(4,2) : G_M = (8,1)_0, W_M = (1,3)_0, B_M = (1,1)_0 \quad (3.4)$$

The SO(4,2) scalar fields include the dilaton Φ which is neutral under $SU(3) \times SU(2) \times U(1)$ and the Higgs doublet H classified as usual

$$\text{scalars of SO}(4,2) : \Phi = (1,1)_0, H^i = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix}_{\frac{1}{2}} = (1,2)_{\frac{1}{2}}. \quad (3.5)$$

Of course more scalars can be included, but for now we will assume a minimal number as above. The fermionic matter fields are the three generations of quarks and leptons $\Psi^{L_a}(X)$, $\Psi^{R_\beta}(X)$ taken as the left/right quartet spinors of $SU(2,2) = SO(4,2)$, and in the usual representations of the Yang-Mills gauge group G , as follows

$$4 \text{ of } SU(2,2) : \begin{pmatrix} u^L \\ d^L \end{pmatrix}_{\frac{1}{6}}, \begin{pmatrix} \nu_e^L \\ e^L \end{pmatrix}_{-\frac{1}{2}}; \begin{pmatrix} c^L \\ s^L \end{pmatrix}_{\frac{1}{6}}, \begin{pmatrix} \nu_\mu^L \\ \mu^L \end{pmatrix}_{-\frac{1}{2}}; \begin{pmatrix} t^L \\ b^L \end{pmatrix}_{\frac{1}{6}}, \begin{pmatrix} \nu_\tau^L \\ \tau^L \end{pmatrix}_{-\frac{1}{2}} \quad (3.6)$$

$$4^* \text{ of } SU(2,2) : \begin{pmatrix} u^R \\ d^R \end{pmatrix}_{\frac{2}{3}}, \begin{pmatrix} \nu_e^R \\ e^R \end{pmatrix}_0; \begin{pmatrix} c^R \\ s^R \end{pmatrix}_{\frac{2}{3}}, \begin{pmatrix} \nu_\mu^R \\ \mu^R \end{pmatrix}_0; \begin{pmatrix} t^R \\ b^R \end{pmatrix}_{\frac{2}{3}}, \begin{pmatrix} \nu_\tau^R \\ \tau^R \end{pmatrix}_0 \quad (3.7)$$

We have included the right handed neutrinos assuming these particles develop Dirac or Majorana-type masses. To describe the fermions in a more compact notation we further introduce the following definitions. The three left handed quark and lepton doublets are defined as $(Q^{L_i})_{\frac{1}{6}}$, $(L^{L_i})_{-\frac{1}{2}}$ respectively with $i = 1, 2, 3$ denoting the three families. Similarly, we define the family labeling $j = 1, 2, 3$ for the right handed quarks and leptons as $u^{R_j} = (u^R, c^R, t^R)_{\frac{2}{3}}$, $d^{R_j} = (d^R, s^R, b^R)_{-\frac{1}{3}}$, $e^{R_j} = (e^R, \mu^R, \tau^R)_{-1}$ and $\nu^{R_j} = (\nu_e^R, \nu_\mu^R, \nu_\tau^R)_0$. All quarks are triplets and all leptons are singlets under color SU(3). The left handed quarks and leptons Q^{L_i} , L^{L_i} are doublets and the right handed quarks and lepton u^{R_j} , d^{R_j} , e^{R_j} , ν^{R_j} are singlets under SU(2) as listed above. Furthermore each field is charged under U(1) with the charges marked as subscripts above. The electric charge of each field is then given by $Q = I_3 + Y$, where Y is the U(1) charge and I_3 is the third generator of SU(2) represented as $\frac{1}{2}\sigma_3$ on all doublets.

The covariant derivatives for each field is then straightforward, as usual in gauge theories. Then the action for the Standard Model in 4 + 2 dimensions is given by

$$S(A, \Psi^{L,R}, H, \Phi) = Z \int (d^6 X) \delta(X^2) L(A, \Psi^{L,R}, H, \Phi) \quad (3.8)$$

$$L(A, \Psi^{L,R}, H, \Phi) = L(A) + L(A, \Psi^{L,R}) + L(\Psi^{L,R}, H) + L(A, \Phi, H) \quad (3.9)$$

where Z is an overall normalization factor that will be chosen below, and $A = (G, W, B)$ is a short hand notation for the gauge fields. Thus, after peeling off the overall volume element $Z \int (d^6 X) \delta(X^2)$ the various parts of the Lagrangian are given as follows. The factor of Z will be fixed later to normalize the emergent volume element in 3 + 1 dimensions.

To get to the physics as simply as possible we assume the 2Tgauge-symmetry has already been gauge fixed to simplify the action as discussed in the previous sections. This means that the simplified actions given below for the Standard Model contains fields whose remainders (i.e. parts proportional to X^2) are not the most general. For example, all remainders can be fixed to be zero. More generally, they are gauge freedoms that have appropriate homogeneity properties to be consistently removable by the remaining 2Tgauge-symmetry. The latter version is not only more general, but can also be simpler for understanding the reduction from $4 + 2$ to $3 + 1$ dimensions discussed in the next section.

The Lagrangian for the gauge bosons $L(A)$ is then

$$L(A) = -\frac{1}{4}Tr_3(G_{MN}G^{MN}) - \frac{1}{4}Tr_2(W_{MN}W^{MN}) - \frac{1}{4}B_{MN}B^{MN}. \quad (3.10)$$

Note that there is no dilaton factor in the Yang-Mills action since $d = 4$. Each field strength is of the form $A_{MN} = \partial_M A_N - \partial_N A_M - ig_A[A_M, A_N]$, for $A = (G, W, B)$ with corresponding gauge groups $SU(3) \times SU(2) \times U(1)$, and with different dimensionless coupling constants g_3, g_2, g_1 appearing instead of the g_A . Of course for the Abelian B_{MN} there is no quadratic term proportional to the $U(1)$ coupling g_1 .

The Lagrangian $L(A, \Phi, H)$ is of the form Eq.(2.37)

$$L(A, \Phi, H) = \frac{1}{2}\Phi\partial^2\Phi + \frac{1}{2}\left(H^\dagger D^2 H + (D^2 H)^\dagger H\right) - V(\Phi, H) \quad (3.11)$$

where the covariant derivative $D_M H$ is given by

$$D_M H = \left(\partial_M - ig_2 \vec{W}_M \cdot \frac{\vec{\tau}}{2} - i\frac{g_1}{2}B_M\right) H. \quad (3.12)$$

The potential energy can be written in the following gauge invariant form

$$V(\Phi, H) = \frac{\lambda}{4}(H^\dagger H - \alpha^2 \Phi^2)^2 + V(\Phi) \quad (3.13)$$

where the couplings λ, α are dimensionless. Recall that quadratic mass terms for H are not allowed in the potential by the consistency of the kinematic equations of motion that require homogeneity as discussed in Eq.(2.38). For this reason we needed to introduce a coupling to the dilaton field Φ . Now $V(\Phi, H)$ has a nontrivial minimum for the Higgs field H where the minimum occurs at $H^\dagger H = \alpha^2 \Phi^2$ or

$$H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix} = \alpha\Phi \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (3.14)$$

This breaks the $SU(2) \times U(1)$ gauge symmetry down to the electro-magnetic $U(1)$ subgroup. Next we need to discuss $V(\Phi)$ that stabilizes the dilaton Φ at some constant expectation value $\langle\Phi\rangle \neq 0$ that sets the scale for the weak interactions as $\langle H^0 \rangle = \alpha\langle\Phi\rangle = v$ in the range of 100 GeV. For this we refer to the later discussion on the topic of mass generation in section (VI).

The Lagrangian $L(A, \Psi^{L,R})$ for fermions is of the form given in Eq.(2.50) but otherwise has all the terms in parallel to the Standard Model in 3 + 1 dimensions as follows

$$L(A, \Psi^{L,R}) = \frac{i}{2} \left(\bar{Q}^{L_i} \not{X} \overrightarrow{D} Q^{L_i} + \bar{Q}^{L_i} \overleftarrow{D} \not{X} Q^{L_i} \right) + \frac{i}{2} \left(\bar{L}^{L_i} \not{X} \overrightarrow{D} L^{L_i} + \bar{L}^{L_i} \overleftarrow{D} \not{X} L^{L_i} \right) \quad (3.15)$$

$$- \frac{i}{2} \left(\bar{d}^{R_j} \not{X} \not{D} d^{R_j} + \bar{d}^{R_j} \overleftarrow{D} \not{X} d^{R_j} \right) - \frac{i}{2} \left(\bar{e}^{R_j} \not{X} \not{D} e^{R_j} + \bar{e}^{R_j} \overleftarrow{D} \not{X} e^{R_j} \right) \quad (3.16)$$

$$- \frac{i}{2} \left(\bar{u}^{R_j} \not{X} \not{D} u^{R_j} + \bar{u}^{R_j} \overleftarrow{D} \not{X} u^{R_j} \right) - \frac{i}{2} \left(\bar{\nu}^{R_j} \not{X} \not{D} \nu^{R_j} + \bar{\nu}^{R_j} \overleftarrow{D} \not{X} \nu^{R_j} \right) \quad (3.17)$$

Note that $\Gamma^M \leftrightarrow \bar{\Gamma}^M$ are interchanged in comparing the left/right sectors, while the sign patterns in the L/R sectors are chosen so that the emergent theory in 3 + 1 dimensions has the correct normalization for the kinetic terms (see Eq.(4.25)). Also, we have replaced the ordinary derivative $\partial_M \Psi^{L,R}$ by the Yang-Mills covariant derivatives D_M as follows, again in parallel to the usual Standard Model in 3 + 1 dimensions

$$D_M Q^{L_i} = \left(\partial_M - ig_3 G_M^a \frac{\lambda^a}{2} - ig_2 \vec{W}_M \cdot \frac{\vec{\tau}}{2} - i \frac{g_1}{6} B_M \right) Q^{L_i}, \quad (3.18)$$

$$D_M u^{R_j} = \left(\partial_M - ig_3 G_M^a \frac{\lambda^a}{2} - i \frac{2g_1}{3} B_M \right) u^{R_j} \quad (3.19)$$

$$D_M d^{R_j} = \left(\partial_M - ig_3 G_M^a \frac{\lambda^a}{2} + i \frac{g_1}{3} B_M \right) d^{R_j} \quad (3.20)$$

$$D_M L^{L_i} = \left(\partial_M - ig_2 \vec{W}_M \cdot \frac{\vec{\tau}}{2} + i \frac{g_1}{2} B_M \right) L^{L_i} \quad (3.21)$$

$$D_M \nu^{R_j} = \partial_M \nu^{R_j} \quad (3.22)$$

$$D_M e^{R_j} = (\partial_M + ig_1 B_M) e^{R_j} \quad (3.23)$$

where $\frac{\lambda^a}{2}$ are the 3×3 matrices that represent the generators of SU(3) and $\frac{\vec{\tau}}{2}$ are the 2×2 Pauli matrices that represent the generators of SU(2). This part of the Lagrangian is invariant under a global family symmetry group F that transforms only the fermions indicated as subscripts

$$F = U(3)_{Q^L} \times U(3)_{u^R} \times U(3)_{d^R} \times U(3)_{L^L} \times U(3)_{e^R} \times U(3)_{\nu^R}. \quad (3.24)$$

The Lagrangian $S(\Psi^{L,R}, H)$ for Yukawa couplings is of the form in Eq.(2.61), but without the dilaton factor since $d = 4$. This couples the three families as follows, again in parallel to the usual Standard Model in 3 + 1 dimensions

$$L(\Psi^{L,R}, H) = \left(\begin{aligned} & (g_u)_{ij} \bar{Q}^{L_i} \not{X} u^{R_j} H^c + (g_u^\dagger)_{ji} \bar{H}^c \bar{u}^{R_j} \not{X} Q^{L_i} \\ & + (g_d)_{ij} \bar{Q}^{L_i} \not{X} d^{R_j} H + (g_d^\dagger)_{ji} \bar{H} \bar{d}^{R_j} \not{X} Q^{L_i} \\ & + (g_\nu)_{ij} \bar{L}^{L_i} \not{X} \nu^{R_j} H^c + (g_\nu^\dagger)_{ji} \bar{H}^c \bar{\nu}^{R_j} \not{X} L^{L_i} \\ & + (g_e)_{ij} \bar{L}^{L_i} \not{X} e^{R_j} H + - (g_e^\dagger)_{ji} \bar{H} \bar{e}^{R_j} \not{X} L^{L_i} \end{aligned} \right). \quad (3.25)$$

Here $H^c = i\tau_2 H^* = \begin{pmatrix} \bar{H}^0 \\ -H^- \end{pmatrix}_{-\frac{1}{2}}$ is the SU(2) charge conjugate of H , which transforms as an SU(2) doublet and has opposite U(1) charge. The dimensionless Yukawa couplings $(g_u)_{ij}$, $(g_d)_{ij}$, $(g_\nu)_{ij}$,

$(g_e)_{ij}$ are complex 3×3 constant matrices since this is the most general permitted by the gauge symmetry $SU(3) \times SU(2) \times U(1)$. These couplings break the global family symmetry F of the lagrangian $L(A, \Psi^{L,R})$ mentioned above. As is well known, by using the freedom of the global family symmetry F it is possible to choose a basis for the quarks and leptons such that g_u and g_e are real and diagonal, while g_d and g_ν become Hermitian but non-diagonal. This relates to the Kobayashi-Maskawa matrices for the quarks and for the neutrinos. The off diagonal entries mix families so that separate family number is not conserved. This leads to the explanation of how the more massive families decay to the less massive ones, and how neutrino mixing occurs. Using the symmetry F to its maximum to eliminate phases in the remaining off diagonal entries, the mixing between quark families (1, 2) can be chosen real, but the mixing between families (1, 3) and (2, 3) remain complex. The complex phases in g_d and g_ν violate the discrete CP symmetry.

Based on the principles of 2Tgauge-symmetry in field theory, that emerged from the underlying $Sp(2, R)$ gauge symmetry in the worldline formalism, we have constructed the Standard Model in $4 + 2$ dimensions. This action generates consistently both the kinematic and dynamical equations of motion for every on shell field in the theory. The kinematic equations, together with the 2Tgauge-symmetry, are just the necessary ingredients to make the $4 + 2$ dimensional theory equivalent to the $3 + 1$ dimensional Standard Model. However the $4 + 2$ structure imposes some restrictions on the emergent $3 + 1$ dimensional theory that relate to unresolved issues in the Standard Model in $3 + 1$ dimensions, including the issues of the strong CP problem and the mass generation mechanism. These are discussed in the following sections.

IV. THE EMERGENT STANDARD MODEL IN $3 + 1$

In this section we demonstrate how the $3 + 1$ dimensional Standard Model emerges from $4 + 2$ dimensions. The new 2Tgauge-symmetry in field theory is essential to show that every field in the theory can be gauge fixed so that it becomes independent of X^2 , as already assumed in the simplified gauge fixed form of the Lagrangian given in the previous section. Then by using the condition $X^2 = 0$ imposed by the delta function we can eliminate one of the components of X^M from every field in the theory. A second component of X^M will also be eliminated from every field by putting every field partially on-shell by satisfying the kinematic equations that follow from the action. These two conditions are precisely the two $Sp(2, R)$ generators in the worldline formalism $X^2 = (X \cdot P + P \cdot X) = 0$ that are solved explicitly in a fixed gauge to obtain a holographic image of the $4 + 2$ dimensional system in $3 + 1$ dimensions, as in Fig.1. We now discuss how this happens in field theory for the Standard Model. We emphasize that the third $Sp(2, R)$ generator is modified by the interactions, and will be left off-shell in the discussion below.

We start by choosing a lightcone type basis in $4 + 2$ dimensions so that the flat metric takes the form $ds^2 = dX^M dX^N \eta_{MN} = -2dX^{+'} dX^{-'} + dX^\mu dX^\nu \eta_{\mu\nu}$, where $\eta_{\mu\nu}$, with $\mu, \nu = 0, 1, 2, 3$

is the Minkowski metric and $X^{\pm'} = \frac{1}{\sqrt{2}}(X^{0'} \pm X^{1'})$ are the lightcone coordinates for the extra one space and one time dimensions. Furthermore we choose the following parametrization which defines the emergent $3 + 1$ dimensional spacetime x^μ

$$X^{+'} = \kappa, \quad X^{-'} = \kappa\lambda, \quad X^\mu = \kappa x^\mu, \quad (4.1)$$

$$\kappa = X^{+'}, \quad \lambda = \frac{X^{-'}}{X^{+'}}, \quad x^\mu = \frac{X^\mu}{X^{+'}}. \quad (4.2)$$

This provides one of the many possible embedding of $3 + 1$ dimensions in $4 + 2$ dimensions. Each such embedding corresponds to a $\text{Sp}(2, R)$ gauge choice in the underlying 2T-physics worldline theory. The present one corresponds to the one labeled as the “relativistic massless particle” in Fig.1. In other embeddings, we will obtain a different $3 + 1$ dimensional view of the $4 + 2$ dimensional theory, as in the examples of Fig.1.

The fields are parameterized as $\Phi(X) = \Phi(\kappa, \lambda, x^\mu)$, and similarly for the others, where λ, x^μ are homogeneous coordinates which do not change under rescaling $\Phi(tX) = \Phi(t\kappa, \lambda, x^\mu)$. The kinematic equations will be solved in this parametrization to reduce the theory from fields in the spacetime X^M to fields in the smaller spacetime x^μ . After this, there remains to satisfy the dynamical equations, including interactions, only in terms of the fields in the smaller spacetime x^μ . The dynamics in the reduced space is described by the reduced action which holographically captures all of the information in the $4 + 2$ dimensional theory.

With the parametrization of Eq.(4.1) we get $X^2 = -2X^{+'}X^{-'} + X^\mu X_\mu = \kappa^2(-2\lambda + x^2)$. So the volume element that appears in the action Eq.(3.8) takes the form

$$(d^6 X) \delta(X^2) = \kappa^5 d\kappa d^4 x d\lambda \delta(\kappa^2(2\lambda - x^2)). \quad (4.3)$$

When $\lambda = x^2/2$ is imposed, the $4 + 2$ dimensional flat metric reduces to the conformal metric in $3 + 1$ dimensions $ds^2 = dX^M dX_M = \kappa^2(dx)^2$. This is how the $\text{SO}(4, 2)$ in $4 + 2$ dimensions becomes the conformal symmetry in $3 + 1$ dimensions. The non-linear realization of conformal transformations in $3 + 1$ dimensions x^μ is nothing but the $\text{SO}(4, 2)$ Lorentz transformations in the space X^M .

Recall that derivatives must be taken before the $X^2 = 0$ is imposed. Let us now use the chain rule $\partial_M = (\partial_M \kappa) \frac{\partial}{\partial \kappa} + (\partial_M \lambda) \frac{\partial}{\partial \lambda} + (\partial_M x^\mu) \frac{\partial}{\partial x^\mu}$ to compute derivatives as follows

$$\frac{\partial}{\partial X^\mu} = \frac{1}{\kappa} \frac{\partial}{\partial x^\mu}, \quad \frac{\partial}{\partial X^{-'}} = \frac{1}{\kappa} \frac{\partial}{\partial \lambda}, \quad \frac{\partial}{\partial X^{+'}} = \frac{1}{\kappa} \left(\kappa \frac{\partial}{\partial \kappa} - \lambda \frac{\partial}{\partial \lambda} - x^\mu \frac{\partial}{\partial x^\mu} \right). \quad (4.4)$$

Using these we further compute $X^M \partial_M = \kappa \frac{\partial}{\partial \kappa}$, and the Laplace operator in $4 + 2$ dimensions

$$\partial^M \partial_M = \frac{1}{\kappa^2} \left(\frac{\partial}{\partial x^\mu} + x_\mu \frac{\partial}{\partial \lambda} \right)^2 - \frac{1}{\kappa^2} \left(2\kappa \frac{\partial}{\partial \kappa} + d - 2 \right) \frac{\partial}{\partial \lambda} + \frac{1}{\kappa^2} (2\lambda - x^2) \left(\frac{\partial}{\partial \lambda} \right)^2. \quad (4.5)$$

We will also need the structures $\Gamma^M X_M, \bar{\Gamma}^M X_M, \Gamma^M \partial_M, \bar{\Gamma}^M \partial_M$ that appear in the fermion equations in $4 + 2$ dimensions by using explicitly the gamma matrix representation given in footnote

(9)

$$X^M \Gamma_M = -\Gamma^{+'} X^{-'} - \Gamma^{-'} X^{+'} + \Gamma_\mu X^\mu \quad (4.6)$$

$$= \kappa \begin{pmatrix} x^\mu \sigma_\mu & i\sqrt{2}\lambda \\ i\sqrt{2} & -x^\mu \bar{\sigma}_\mu \end{pmatrix}, \quad (4.7)$$

and

$$\bar{\Gamma}^M \partial_M = \bar{\Gamma}^{+'} \partial_{+'} + \bar{\Gamma}^{-'} \partial_{-'} + \bar{\Gamma}^\mu \partial_\mu \quad (4.8)$$

$$= \frac{1}{\kappa} \begin{pmatrix} \bar{\sigma}^\mu \partial_\mu & -i\sqrt{2}(\kappa \partial_\kappa - \lambda \partial_\lambda - x^\mu \partial_\mu) \\ -i\sqrt{2} \partial_\lambda & -\sigma^\mu \partial_\mu \end{pmatrix}. \quad (4.9)$$

For $\bar{\Gamma}^M X_M, \Gamma^M \partial_M$ we obtain the the same structures as above, but replacing σ_μ by $\bar{\sigma}_\mu$ and vice versa.

A. Reduction of scalars

We now proceed to solve the kinematical equations. We start with the kinematic equations of the scalars $(X \cdot \partial + \frac{d-2}{2}) \Phi = (\kappa \frac{\partial}{\partial \kappa} + 1) \Phi = 0$. The Higgs scalar H also satisfies a similar equation but with the covariant derivative replacing the ordinary derivative. We fix the Yang-Mills gauge symmetry so that

$$X \cdot A = 0 \text{ for all YM fields } A = (G, W, B) \quad (4.10)$$

In this gauge $X \cdot D = X \cdot \partial$ therefore H satisfies the same kinematic condition as the singlet Φ . These homogeneity conditions determine the kappa dependence fully as an overall factor

$$\Phi(X) = \kappa^{-1} \underline{\Phi}(x, \lambda), \text{ similarly for } H. \quad (4.11)$$

Now recall that according to Eq.(3.1) the part of the *homogeneous* scalar field proportional to X^2 is gauge freedom with respect to the 2Tgauge-symmetry. We have already said that we can gauge fix the remainder to zero, but let's look at the details of how this is done. Thus if we define $\phi(x) \equiv \underline{\Phi}(x, 0)$ and write $\underline{\Phi}(x, \lambda) = \phi(x) + \left(\lambda - \frac{x^2}{2}\right) \tilde{\phi}(x, \lambda)$, then the remainder $\tilde{\phi}(x, \lambda)$ can be gauge fixed at will. It is convenient to choose the gauge $\tilde{\phi}(x, \lambda) = 0$ which makes the field $\Phi(X)$ independent of λ

$$\Phi(X) = \kappa^{-1} \phi(x), \text{ similarly } H(X) = \kappa^{-1} h(x). \quad (4.12)$$

Then it is simple to compute the 4+2 dimensional Laplacian $\partial^M \partial_M$ of Eq.(4.5) since all derivatives $\frac{\partial}{\partial \lambda}$ vanish and we obtain¹⁶

$$\partial^M \partial_M \Phi(X) = \frac{1}{\kappa^3} \frac{\partial^2 \phi(x)}{\partial x^\mu \partial x_\mu}. \quad (4.14)$$

¹⁶ If we don't choose the simplifying gauge $\tilde{\phi}(x, \lambda) = 0$, we still obtain the same result as follows. In computing $\partial^M \partial_M \Phi(X)$ we recall that $\lambda = \frac{x^2}{2}$ is imposed by the delta function after all derivatives are computed. Then

It will be argued below that the gauge fields will also get reduced to four dimensional fields¹⁷, so that $D^2 H(X) = \frac{1}{\kappa^3} D^\mu D_\mu h$ will involve only the four dimensional component of the gauge field $A_\mu(x)$. In this way the scalar Lagrangian $L(A, \Phi, H)$ is reduced to the form

$$L(A_M(X), \Phi(X), H(X)) = \frac{1}{\kappa^4} L(A_\mu(x), \phi(x), h(x)) \quad (4.15)$$

where $L(A_\mu(x), \phi(x), h(x))$ is purely a four dimensional Lagrangian that has the same form as $L(A_M(X), \Phi(X), H(X))$ except for the fact that only four dimensional fields and only four dimensional covariant derivatives appear. Replacing this in the action we obtain

$$S(A, \Phi, H) = Z \int |\kappa|^5 d\kappa d^4x d\lambda \delta(\kappa^2(2\lambda - x^2)) \times \frac{1}{\kappa^4} L(A_\mu(x), \phi(x), h(x)) \quad (4.16)$$

$$= \left[Z \int d\kappa du \delta(2|\kappa|u) \right] \int d^4x L(A_\mu(x), \phi(x), h(x)). \quad (4.17)$$

In the last step we have changed integration variable to $u = \lambda - \frac{x^2}{2}$. We see that the action has an overall logarithmically divergent factor which is cancelled by choosing the overall normalization Z in front of the whole action in Eq.(3.8), so that

$$Z \int d\kappa du \delta(2|\kappa|u) = 1. \quad (4.18)$$

The same factor will appear in all the terms of the action in the reduction from $4 + 2$ to $3 + 1$ dimensions. The four dimensional action $S(A, \phi, h) = \int d^4x L(A_\mu(x), \phi(x), h(x))$ is translation and Lorentz invariant, and captures all of the information contained in the six dimensional action $S(A, \Phi, H)$ without losing any information. In this sense the four dimensional action is a holographic image of the higher dimensional one.

the operator $\partial^M \partial_M$ in Eq.(4.5) simplifies as follows. The last term drops because of the factor $(\lambda - \frac{x^2}{2}) = 0$ even after differentiation. The second term drops because of the form of the homogeneous solution (4.11). The first term simplifies because derivatives with respect to x^μ appear only in the combination $\frac{\partial}{\partial x^\mu} + x_\mu \frac{\partial}{\partial \lambda}$. Then, setting $\lambda = x^2/2$ after differentiation with the derivative operator $\frac{\partial}{\partial x^\mu} + x_\mu \frac{\partial}{\partial \lambda}$, gives the same result as setting $\lambda = x^2/2$ before differentiation and then differentiating with respect to the total x dependence including the part coming from $\lambda = x^2/2$

$$\left[\left(\frac{\partial}{\partial x^\mu} + x_\mu \frac{\partial}{\partial \lambda} \right) \tilde{\Phi}(x, \lambda) \right]_{\lambda=x^2/2} = \frac{\partial}{\partial x^\mu} \tilde{\Phi} \left(x, \frac{x^2}{2} \right). \quad (4.13)$$

Therefore we can set $\tilde{\Phi}(x, \lambda)|_{\lambda=x^2/2} = \tilde{\Phi}(x, \frac{x^2}{2}) = \phi(x)$ before differentiation. This is equivalent to dropping the term $\frac{\partial}{\partial \lambda}$ in the derivative operator $\frac{\partial}{\partial x^\mu} + x_\mu \frac{\partial}{\partial \lambda}$. Hence $\partial^M \partial_M$ in $4 + 2$ dimensions reduces to the Laplace operator in $3 + 1$ dimensions Eq.(4.14), in agreement with the simpler derivation based on the fixed gauge.

¹⁷ As seen in Eq.(4.30) the gauge fixed form of A_M includes the non-zero component $A^{-'} = \frac{1}{\kappa} x^\mu A_\mu(x) = -A_{+'}$, while $A^{+'} = -A_{-'} = 0$. Therefore the covariant derivatives in the extra dimensions $D_{\pm'}$ take the form $D_{-'} = \partial_{-'} = \frac{1}{\kappa} \partial_\lambda$ and $D_{+'} = \partial_{+'} - i A_{+'} = \partial_\kappa + \frac{i}{\kappa} x^\mu A_\mu$. These appear in $D^M D_M = -D_{+'} D_{-'} - D_{-'} D_{+'} + D^\mu D_\mu$. Since $D_{-'} = \frac{1}{\kappa} \partial_\lambda$ vanishes on the λ independent $h(x)$ and $A_\mu(x)$ we obtain the reduction $D^M D_M \rightarrow D^\mu D_\mu$ which then leads to the four dimensional theory.

B. Reduction of chiral fermions

We start by writing every fermion in the form $\Psi^{L,R}(X) = \Psi_0^{L,R}(X) + X^2 \tilde{\Psi}^{L,R}(X)$ where $\Psi_0^{L,R}$ is defined as $\Psi_0^{L,R}(X) \equiv [\Psi^{L,R}(X)]_{X^2=0} = \Psi_0^{L,R}(\kappa, x)$ which is independent of λ . We can gauge fix $\tilde{\Psi}^{L,R}(X) = 0$ off shell by using the $X^2 \zeta_1$ part of the 2Tgauge-symmetry for fermions of Eq.(3.2). In this gauge $\Psi^{L,R}(X) \equiv \Psi_0^{L,R}(\kappa, x)$ becomes fully independent of λ . Next we use the kinematical equations $(X \cdot \partial + \frac{d}{2}) \Psi^{L,R} = (\kappa \frac{\partial}{\partial \kappa} + 2) \Psi^{L,R} = 0$. This determines the kappa dependence fully as an overall factor for all fermions, and we get the homogeneous form

$$\Psi^{L,R}(X) = \kappa^{-2} \chi^{L,R}(x). \quad (4.19)$$

Since we now have homogeneous fermions, half of the degrees of freedom can be removed by using the fermionic 2Tgauge-symmetry of Eq.(3.2) with the parameters $\not{X} \zeta_2^{R\alpha}, \overline{\not{X}} \zeta_2^{L\beta}$ that have the same degree of homogeneity. It is convenient to choose the lightcone type gauge $\Gamma^{+'} \Psi^{L,R} = 0$ in the extra dimensions that requires the two lower components of $\Psi^{L,R}$ to vanish

$$\Psi^{L,R}(X) = \frac{1}{2^{1/4} \kappa^2} \begin{pmatrix} \psi^{L,R}(x) \\ 0 \end{pmatrix}, \quad \bar{\Psi}^{L,R}(X) = \frac{-i}{2^{1/4} \kappa^2} (0, \bar{\psi}^{L,R}(x)). \quad (4.20)$$

Note that $\bar{\Psi}^{L,R}$ is constructed by taking Hermitian conjugation and applying the $SU(2, 2)$ metric $\eta = -i\tau_1 \times 1$ given in footnote (9). At this point we remain with only four dimensional fields $\psi^{L,R}(x)$ written in the form of $SL(2, C)$ doublets.

For the gauge fixed form of $\Psi^{L,R}$ given above, the structures $\overline{\not{D}} \Psi^L$ and $\bar{\Psi}^L \not{X}$ that appear in the action take the following forms after using Eqs.(4.7,4.9)

$$\bar{\Psi}^L \not{X} = \frac{-i}{2^{1/4} \kappa} (0, \bar{\psi}^L) \begin{pmatrix} x^\mu \sigma_\mu & i\sqrt{2}\lambda \\ i\sqrt{2} & -x^\mu \bar{\sigma}_\mu \end{pmatrix} \quad (4.21)$$

$$= \frac{-i}{2^{1/4} \kappa} (i\sqrt{2} \bar{\psi}^L, -\bar{\psi}^L x^\mu \bar{\sigma}_\mu) \quad (4.22)$$

and

$$\overline{\not{D}} \Psi^L = \frac{1}{2^{1/4} \kappa} \begin{pmatrix} \bar{\sigma}^\mu D_\mu & -i\sqrt{2}(\kappa D_\kappa - \lambda \partial_\lambda - x^\mu D_\mu) \\ -i\sqrt{2} \partial_\lambda & -\sigma^\mu D_\mu \end{pmatrix} \begin{pmatrix} \frac{1}{\kappa^2} \psi^L(x) \\ 0 \end{pmatrix} \quad (4.23)$$

$$= \frac{1}{2^{1/4} \kappa^3} \begin{pmatrix} \bar{\sigma}^\mu D_\mu \psi^L(x) \\ 0 \end{pmatrix}. \quad (4.24)$$

where we have used¹⁸ $\partial_\lambda \psi^L(x) = 0$. Note that only ∂_λ appears instead of D_λ due to a Yang-Mills gauge choice $A_- = -A^{+'} = 0$ as explained below and in footnote (17).

¹⁸ Even if we had not chosen the simplifying gauge $\Psi_1^{L,R}(X) = 0$ which made $\Psi^{L,R}(X)$ independent of λ , we can still reach the same conclusion for Eq.(4.24) for any $\Psi_1^{L,R}(X)$, after first differentiating with respect to λ and then setting $\lambda = x^2/2$. The argument for this is similar to footnote (16).

There remains to show that the dynamical equations of the original fermions reduce to the usual four dimensional massless fermion equations in $3 + 1$ dimensions. This can be done either for the equations of motion or more concisely for the Lagrangian, with equivalent conclusions. Thus consider the fermionic structures of the type $i\bar{\Psi}^L \not{X} \overline{\not{D}}\Psi^L$, $-i\bar{\Psi}^R \overline{\not{X}} \not{D}\Psi^R$, $g\bar{\Psi}^L \not{X}\Psi^R H$, etc. that appear in the $4 + 2$ dimensional action in Eqs.(3.15,3.25). From the above gauge fixed expressions for $\Psi^{L,R}$, $\bar{\Psi}^L \not{X}$ and $\overline{\not{D}}\Psi^L$ we compute

$$\begin{aligned} g\bar{\Psi}^L \not{X}\Psi^R H &= \frac{g}{\kappa^4} \bar{\psi}^L \psi^R h, & i\bar{\Psi}^L \not{X} \overline{\not{D}}\Psi^L &= \frac{i}{\kappa^4} \bar{\psi}^L \bar{\sigma}^\mu D_\mu \psi^L, \\ g\bar{\Psi}^R \overline{\not{X}}\Psi^L H^* &= \frac{g}{\kappa^4} \bar{\psi}^R \psi^L h^*, & -i\bar{\Psi}^R \overline{\not{X}} \not{D}\Psi^R &= -\frac{i}{\kappa^4} \bar{\psi}^L \sigma^\mu D_\mu \psi^L \end{aligned} \quad (4.25)$$

Note that all explicit dependence on X^M has disappeared. These emergent forms are precisely the correctly normalized translation and Lorentz invariant kinetic and Yukawa coupling terms that should appear in the four dimensional action. Therefore, we have shown that after using the kinematical equations, and imposing some gauge fixing, all the fermion terms in the $4 + 2$ dimensional action reduce to a four dimensional theory

$$S(fermions) = Z \int |\kappa|^5 d\kappa d^4x d\lambda \delta(\kappa^2(2\lambda - x^2)) \times \frac{1}{\kappa^4} L(fermions) \quad (4.26)$$

$$= \int d^4x L_{fermions}(A_\mu(x), h(x), \psi^{L,R}(x)) \quad (4.27)$$

where Eq.(4.18) is used. Here $L_{fermions}(A_\mu(x), h(x), \psi^{L,R}(x))$ is the reduced form of the fermion terms $L(A, \Psi^{L,R}) + L(\Psi^{L,R}, H)$ that appear in the $4 + 2$ theory in Eqs.(3.15,3.25). This is precisely the usual chiral fermion terms in the Standard Model Lagrangian in $3 + 1$ dimensions interacting with the $SU(3) \times SU(2) \times U(1)$ gauge bosons and the Higgs field.

C. Reduction of gauge bosons

We start by gauge fixing the Yang-Mills gauge symmetry in the form of Eq.(2.64) $X \cdot A = 0$, so that the kinematic equations for the $A_M = (G_M, W_M, B_M)$ become the simple homogeneity condition $X^N F_{NM} = (X \cdot \partial + 1) A_M = (\kappa \partial_\kappa + 1) A_M = 0$. Thus in this gauge we can write

$$A^M(X) = \kappa^{-1} \underline{A}^M(x^\mu, \lambda) \quad (4.28)$$

There is a leftover gauge symmetry given by Yang-Mills transformations $\delta_\Lambda A_M = D_M \Lambda = \partial_M \Lambda - i[A_M, \Lambda]$ that do not change the gauge condition $X \cdot A = 0$. This leftover symmetry corresponds to homogeneous $\Lambda(X)$ of degree 0

$$X \cdot \delta_\Lambda A = 0 \rightarrow X \cdot \partial \Lambda = 0. \quad (4.29)$$

This is just sufficient gauge symmetry to remove one full degree of freedom in $d + 2$ dimensions from a homogeneous gauge field $A^M(X)$. Using this freedom we choose a lightcone type gauge

in the extra dimensions $A^{+'}(X) = 0$ (note $A_{-'} = -\eta_{-+'}A^{+'} = 0$), and also use the fact that $X \cdot A = 0$ to solve for the other lightcone component in terms of the four Minkowski components $A^\mu(X)$. We find

$$A^M(X) : A^{+'} = -A_{-'} = 0, \quad A^{-'} = -A_{+'} = \frac{1}{\kappa} x^\mu \underline{A}_\mu(x^\mu, \lambda), \quad A^\mu(X) = \frac{1}{\kappa} \underline{A}^\mu(x^\mu, \lambda). \quad (4.30)$$

We now turn to the 2Tgauge-symmetry. In the present gauge its parameters $a^M(X)$ of Eq.(2.65) must be restricted to maintain the gauge choice $A^{+'}(X) = 0$. Therefore we must take $a^{+'}(X) = 0$. Furthermore due to $X \cdot a = 0$ they must have the same form as $A^M(X)$, namely $a^{+'} = 0$, $a^{-'} = X^\mu a_\mu$. The conditions that the remaining $a^\mu(X)$ must satisfy in this gauge follow from Eq.(2.65) as

$$(X \cdot \partial + 3) a^\mu(X) = X^\mu D \cdot a, \quad (X \cdot \partial + 3) a^{\pm'}(X) = X^{\pm'} D \cdot a, \quad (4.31)$$

Since $a^{+'} = 0$ we must have $D \cdot a = 0$, hence $a^\mu(X)$ must be homogeneous $(X \cdot \partial + 3) a^\mu(X) = 0$, and therefore we have

$$a^\mu(X) = \frac{1}{\kappa^3} \underline{a}^\mu(x, \lambda). \quad (4.32)$$

We can now use this homogeneous degree of freedom in the 2Tgauge-symmetry to eliminate the λ dependence from the gauge field $\underline{A}^M(x^\mu, \lambda)$.

To proceed we first identify different parts of the gauge field as

$$A_\mu(X) = A_\mu^0(X) + X^2 \underline{A}_\mu = \frac{1}{\kappa} \left[A_\mu(x) + \left(\lambda - \frac{x^2}{2} \right) V_\mu(x, \lambda) \right] \quad (4.33)$$

such that $A_\mu^0(X) \equiv [A_\mu(X)]_{X^2=0} = \kappa^{-1} A^\mu(x)$. Then, use the gauge parameters $\underline{a}^\mu(x, \lambda)$ to gauge fix $V^\mu(x, \lambda) = 0$. In this way we have arrived at a gauge fixed field $A^M(X)$ that is independent of λ

$$A^M(X) : A^{+'} = -A_{-'} = 0, \quad A^{-'} = -A_{+'} = \frac{1}{\kappa} x^\mu A_\mu(x), \quad A^\mu(X) = \frac{1}{\kappa} A^\mu(x) \quad (4.34)$$

We can now compute field strengths. Recall from the chain rule in Eq.(4.4) that $\partial_{-'} = \kappa^{-1} \partial_\lambda$ will vanish when applied on the λ independent fields. Therefore we find

$$F_{\mu\nu}(X) = \kappa^{-2} F_{\mu\nu}(x), \quad \text{with } F_{\mu\nu}(x) = \partial_\mu A_\nu - \partial_\nu A_\mu - i[A_\mu, A_\nu] \quad (4.35)$$

$$F_{+' \mu}(X) = \kappa^{-2} x^\nu F_{\mu\nu}(x), \quad F_{-'\mu}(X) = 0, \quad F_{+' -'}(X) = 0. \quad (4.36)$$

The Lagrangian density becomes

$$L(A(X)) = -\frac{1}{4} \text{Tr}(F_{MN} F^{MN})(X) = -\frac{1}{4\kappa^4} \text{Tr}(F_{\mu\nu} F^{\mu\nu})(x). \quad (4.37)$$

We see that only the four dimensional field strength $F_{\mu\nu}$ has survived as the only independent field. It was possible to gauge fix the components $F_{-'\mu}(X), F_{+' -'}(X)$ to zero, because $F_{MN}(X)$

is not gauge invariant under the 2Tgauge-symmetry, although the action as well as the dynamical equations constructed from F_{MN} are gauge invariant.

Using these results we can now see that after using the kinematical equations, and imposing some gauge fixing, all the gauge theory terms in the $4 + 2$ dimensional action reduce to a four dimensional theory

$$S(A) = Z \int |\kappa|^5 d\kappa d^4x d\lambda \delta(\kappa^2(2\lambda - x^2)) \times \frac{1}{\kappa^4} L(A^\mu(x)) \quad (4.38)$$

$$= \int d^4x L(A_\mu(x)) \quad (4.39)$$

This is precisely the usual Yang-Mills terms in the Standard Model Lagrangian in $3+1$ dimensions for the $SU(3) \times SU(2) \times U(1)$ gauge fields.

We have thus demonstrated that the $3 + 1$ dimensional Standard Model emerges from $4 + 2$ dimensions.

V. RESOLUTION OF THE STRONG CP PROBLEM

Recall that the strong CP problem in QCD is due to the fact that a term of the form $S_\theta = \frac{\theta}{4!} \int d^4x \varepsilon_{\mu\nu\lambda\sigma} \text{Tr}(G^{\mu\nu} G^{\lambda\sigma})$ can be added to the QCD action in $3+1$ dimensions without violating any of the gauge or global symmetries. Unfortunately this term violates CP conservation of the strong interactions. So, phenomenologically speaking, if it is not absolutely zero, it must be extremely small. However there is no explanation of this fact within the simple version of the Standard Model. This problem can be circumvented by extending the Standard Model with an additional $U(1)$ symmetry, called the Peccei-Quinn symmetry[29], by doubling the Higgs bosons. The spontaneous breakdown of this symmetry, along with $SU(2) \times U(1)$ leads to the Goldstone boson called the axion. So far searches for the axion have limited its parameters sufficiently to basically rule it out. This leaves us with a fundamental problem to solve.

We will argue that there is a resolution of this problem in the $4+2$ formulation of the Standard Model. The key point is that a term similar to the form $\varepsilon_{\mu\nu\lambda\sigma} \text{Tr}(G^{\mu\nu} G^{\lambda\sigma})$ that appears in the QCD Lagrangian in $3+1$ dimensions cannot be written down in $4+2$ dimensions as an invariant under the symmetries. This is because in $4+2$ dimensions the Levi-Civita symbol $\varepsilon^{M_1 M_2 M_3 M_4 M_5 M_6}$ has six indices instead of four.

We may ask if there are any additional invariant terms that we could have included in the $4+2$ dimensional theory that could lead to S_θ upon reduction to $3 + 1$ dimensions? In providing an answer to this question we must take into account the 2Tgauge-symmetry as well as the principle of renormalizability. The latter says that we should not include any terms in $4 + 2$ dimensions that would lead to non-renormalizable interactions in $3 + 1$ dimensions. Then one cannot find any terms that include the delta function $\delta(X^2)$ in the volume element, except for the following

one

$$\int (d^6 X) \delta(X^2) X_{M_1} \partial_{M_2} \text{Tr}(F_{M_3 M_4} F_{M_5 M_6}) \varepsilon^{M_1 M_2 M_3 M_4 M_5 M_6}. \quad (5.1)$$

This term vanishes identically as follows. The ordinary derivative ∂_{M_2} can be rewritten as the covariant derivative D_{M_2} when applied on each of the field strengths inside the trace, since the non-Abelian terms sum up to become the commutator of matrices that vanish due to the trace. Then we use the Bianchi identities $D_{[M_2} F_{M_3 M_4]} = 0$ to show that the term is null.

There remains the following type of term to consider without the delta function

$$\int (d^6 X) \text{Tr}(F_{M_1 M_2} F_{M_3 M_4} F_{M_5 M_6}) \varepsilon^{M_1 M_2 M_3 M_4 M_5 M_6}. \quad (5.2)$$

The fact that it is cubic rather than quadratic seems to already violate the renormalizability requirements. However, this is a topological term that can be written as a total divergence, so it cannot violate renormalizability. Furthermore, since it is a total divergence, it is automatically invariant under all the infinitesimal gauge symmetries we discussed before. Furthermore, it cannot contribute to the equations of motion. For the $\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$ gauge group there are several such gauge invariants, namely

$$\int (d^6 X) \left[\begin{array}{l} a \text{Tr}(G_{M_1 M_2} G_{M_3 M_4} G_{M_5 M_6}) \\ + b \text{Tr}(W_{M_1 M_2} W_{M_3 M_4} W_{M_5 M_6}) \\ + c (B_{M_1 M_2} B_{M_3 M_4} B_{M_5 M_6}) \\ + d B_{M_1 M_2} \text{Tr}(W_{M_3 M_4} W_{M_5 M_6}) \\ + e B_{M_1 M_2} \text{Tr}(G_{M_3 M_4} G_{M_5 M_6}) \end{array} \right] \varepsilon^{M_1 M_2 M_3 M_4 M_5 M_6}, \quad (5.3)$$

The one that comes closest to producing S_θ is the last one, since upon reduction to $3 + 1$ dimensions we might get the term $\int dx^4 \varepsilon_{\mu\nu\lambda\sigma} \text{Tr}(G^{\mu\nu} G^{\lambda\sigma}) \times \int |\kappa|^5 d\kappa d\lambda B_{+,-'}(x, \lambda, \kappa)$. However, we have shown in the previous section that such a term is gauge dependent under the 2Tgauge-symmetry. In particular in the reduction to $3 + 1$ dimensions we have shown that all $F_{-,\mu}(X) = 0$, $F_{+,-'}(X) = 0$ vanish not only for B_{MN} but for all others as well. Hence the topological term vanishes identically.

This resolves the strong CP problem in QCD in the emergent Standard Model in $3 + 1$ dimensions.

VI. MASS GENERATION

We will mention briefly several mass generation scenarios, namely dynamical symmetry breaking, Coleman-Weiberg mechanism with only the $\text{SU}(2) \times \text{U}(1)$ doublet field H and no dilaton, new mechanisms offered by the 2T-physics formulation, and finally discuss in more detail the dilaton assisted Higgs mechanism.

Dynamical symmetry breaking in the form of extended technicolor is certainly one of the possibilities for mass generation. This would proceed by adding all the ingredients of extra techni-matter and techni-interactions in parallel to what is done in the usual $3 + 1$ dimensional theories of technicolor. The $4 + 2$ dimensional theory seems to proceed in the same way and therefore we do not have new comments on this possibility from the point of view of $4 + 2$ dimensions.

The Coleman-Weiberg mechanism proceeds through radiative corrections in a completely massless theory with quartic plus gauge interactions [30]. This would apply in $3+1$ dimensions to the Higgs field H in interaction with the electroweak gauge bosons, and produce an effective potential which does lead to spontaneous breakdown. Of course, we would need to recompute these effects directly in the $4+2$ dimensional quantum theory, but for now let us assume that the result is roughly similar. In the $3+1$ theory this mechanism predicts a definite mass ratio between the massive vector and the massive Higgs. Using the values of the electroweak coupling constant and an average of the W/Z masses, the mass of the Higgs comes out in the range of about 10 GeV, and seems to be ruled out already.

In 2T-physics there are new ways of understanding mass as having a relationship to some moduli in the embedding of $3+1$ dimensions in the higher space of $4+2$ dimensions. This produces the massive relativistic particle instead of the massless particle, as indicated in Fig.1. This effect, which has no relation to the Kaluza-Klein type of mass, has been studied in the worldline formalism for particle dynamics [7][11]. It has even been suggested as an alternative mechanism to the Higgs [20] but remained far from being understood. The application of this approach to understand the various corners of Fig.1 in the context of field theory is at its infancy [12], and needs to be studied in the presence of interactions as formulated in this paper. This has not been developed so far, but should be mentioned as a new possible source of mass that remains to be investigated.

Next we turn to the Higgs mechanism, which is the most popular possibility within the usual Standard Model. We find that there are new twists in the $4 + 2$ formulation, and as a result there could be measurable phenomenological consequences as described below.

The $4+2$ action leads to the absence of quadratic mass terms in the Higgs potential. Therefore, instead of the tachyonic mass, an interaction of the Higgs H with a dilaton Φ was introduced in Eq.(3.13) in the form

$$V(\Phi, H) = \frac{\lambda}{4} (H^\dagger H - \alpha^2 \Phi^2)^2 + V(\Phi) \quad (6.1)$$

to induce the spontaneous breakdown of the electroweak symmetry $SU(2) \times U(1)$. The reason that only quartic interactions were allowed is intimately related to the b -symmetry (which ultimately comes from the underlying $Sp(2, R)$). The b -symmetry removes gauge degrees of freedom from the $4 + 2$ dimensional fields and reduce them to the $3 + 1$ dimensional degrees of freedom.

The reason for the pure quartic interaction can also be understood directly by studying the

equations of motion. As shown in the previous section, the solution of the kinematic equations of motion for the scalars require that they must be homogeneous of degree -1 . This means that their dependence on the extra dimension κ must be of the form

$$H(X) = \frac{1}{\kappa} \hat{H}(x), \quad \Phi(X) = \frac{1}{\kappa} \hat{\Phi}(x). \quad (6.2)$$

The dynamical equations of motion $\partial^2 \Phi = \partial_\Phi V(\Phi, H) + \dots$ and $\partial^2 H = \partial_H V(\Phi, H) + \dots$ now have the left hand side proportional to κ^{-3} , therefore the right hand side must also be proportional to κ^{-3} . Combined with Eq.(6.2), this requires $V(\Phi, H)$ to be purely quartic. If any additional terms, such as quadratics are included in $V(\Phi, H)$, they have to vanish on their own since they will have a different power of κ .

For this reason the dynamical breakdown of the $SU(2) \times U(1)$ electro-weak symmetry cannot be accomplished with a tachyonic mass term for the Higgs field H , since this is forbidden in the $4+2$ formulation. Instead, a coupling to the dilaton as given in Eq.(6.1) generates the non-trivial vacuum configuration in Eq.(3.14). The equations of motion for the scalars (assuming all other fields vanish at the vacuum) are

$$\partial^2 H = \lambda H (H^\dagger H - \alpha^2 \Phi^2), \quad \partial^2 \Phi = -2\alpha^2 \Phi (H^\dagger H - \alpha^2 \Phi^2) + V'(\Phi). \quad (6.3)$$

At the vacuum configuration $(H^\dagger H - \alpha^2 \Phi^2) = 0$ the Higgs field must satisfy $\partial^2 H = 0$ while $H(X)$ is also homogeneous of the form Eq.(6.2). We have seen already that $\partial^2 H(X) = \kappa^{-3} \partial_x^2 \hat{H}(x)$, therefore $\hat{H}(x)$ must be a constant at the vacuum, but $H(X)$ still depends on the κ coordinate

$$\langle H(\kappa, \lambda, x^\mu) \rangle = \frac{v}{\kappa} \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad v = \text{electroweak scale} \sim 100 \text{ GeV}. \quad (6.4)$$

The electroweak scale of about 100 GeV is determined by fitting to experiment.

Returning to the equation for Φ , at the Higgs vacuum, it reduces to the form $\partial^2 \Phi = V'(\Phi)$. But it must also satisfy the vacuum value $(H^\dagger H - \alpha^2 \Phi^2) = 0$ and be homogeneous as in Eq.(6.2), therefore

$$\langle \Phi(X) \rangle = \pm \frac{v}{\kappa \alpha}. \quad (6.5)$$

Hence $\partial^2 \langle \Phi \rangle = 0$, which requires $V'(\langle \Phi \rangle) = 0$ at the vacuum. If $V(\Phi)$ is also taken as a quartic monomial $V(\Phi) = \frac{\lambda'}{4} \Phi^4$ (as required by the b symmetry), then the only solution is $\lambda' = 0$, or $V(\Phi) = 0$ identically (at the classical level) to fit phenomenology¹⁹.

¹⁹ Recall that we can change the homogeneity degree of Φ as in Eq.(2.39) from -1 to $(-1 + \frac{a}{2})$ by permitting the term $W(\Phi) = \frac{a}{2} \Phi^2$ in the action. Whatever the new homogeneity degree of Φ is, it must appear in the potential $V(\Phi, H)$ with appropriate powers to make every term in $V(\Phi, H)$ have homogeneity degree -4 . For example if the degree of Φ is -2 then every Φ^2 we see in $V(\Phi, H)$ should be replaced by Φ . This gives quadratic terms for Φ but does not change the conclusions. More complicated forms of $W(\Phi)$ have not been studied yet.

Actually, the above discussion for the dilaton Φ is incomplete. To fully understand the interactions of the dilaton one must include the gravitational fields with which it naturally interacts. For example, in string theory the vacuum expectation value of the dilaton plays the role of the string coupling constant that controls all string interactions. There is no full understanding yet how our four dimensional vacuum (or the $4 + 2$ upgrade in our case) emerges from a more fundamental theory that includes gravity. This information will eventually include the vacuum expectation value of the dilaton. Therefore at the present we have no theoretical control on how to stabilize the vacuum expectation value of the dilaton.

For this reason, in our model we simply take the value of $\langle\Phi\rangle \neq 0$ as given by the phenomenology of the Higgs $\langle H\rangle \neq 0$. But we imagine that $\langle\Phi\rangle$ is stabilized by additional interactions in the gravitational or string theory sectors to have a fixed value related to $v \sim 100 \text{ GeV}$, and the dependence on the extra dimension κ , and the coupling α as given above in Eq.(6.5). Then this form of the dilaton plays the same role as the tachyonic mass term of the Higgs in the usual Standard Model. This is then the source that drives the electroweak symmetry breaking.

In this way we have given a deeper physical basis for the Higgs vacuum. In the $4 + 2$ theory mass generation through a Higgs is not isolated from the gravitational (or string) interactions. In fact our suggested point of view is intellectually more satisfactory because a Higgs vacuum fills all space with the constant v . To imagine that this space-filling vacuum could be achieved without the cooperation of the gravitational sector or without appealing to the vacuum selection process in the evolution of the universe since the Big Bang suggests that something was amiss in the logic of mass generation.

Given the discussion above we suggest that the vacuum value of the dilaton (effectively the tachyonic Higgs mass) is imposed mainly by the gravitational or string sector of a more complete theory. But, given that $\langle\Phi\rangle \neq 0$ is not just an isolated constant, but the value of a field, we should analyze how the small fluctuations of the dilaton around its vacuum interact with the rest of matter. Therefore, our proposal has phenomenological consequences as discussed below.

We now discuss the small fluctuations of both the Higgs and the dilaton. We give the discussion directly in terms of the $3 + 1$ dimensional fields. We choose the unitary gauge for the Higgs by absorbing 3 of its degrees of freedom into the electroweak gauge fields. For the remaining neutral Higgs field, and the dilaton field we write everywhere the following vacuum shifted forms

$$H^0(X) = \frac{1}{\kappa}(v + h(x)), \quad \Phi(X) = \frac{1}{\alpha\kappa}(v + \alpha\phi(x)). \quad (6.6)$$

where h, ϕ are the small fluctuations. The potential energy in Eq.(6.1), with $V(\Phi) = 0$, becomes $V(\Phi, H) = \frac{1}{\kappa^4}V(h, \phi)$ where $V(h, \phi)$ is the potential energy from the point of view of the $3 + 1$ dimensional theory. It is given by

$$V(h, \phi) = \frac{\lambda}{4} \left((v + h)^2 - (v + \alpha\phi)^2 \right)^2 \quad (6.7)$$

$$= \frac{\lambda}{4} (h - \alpha\phi)^2 (h + \alpha\phi + 2v)^2. \quad (6.8)$$

In the limit $\alpha \rightarrow 0$ that corresponds to zero coupling to the dilaton, we recognize the standard theory of the Higgs boson with its usual potential $V(h) = \lambda v^2 h^2 + \lambda v h^3 + \frac{\lambda}{4} h^4$. If h is observed at the LHC, from its mass given by $\lambda v^2 = \frac{1}{2} m^2$, the coupling constant λ would be determined, and its self interactions $\lambda v h^3 + \frac{\lambda}{4} h^4$ are then predicted.

If α is very small, then the coupling of the Higgs (and the rest of the Standard Model) to the dilaton $\phi(x)$ may appear to be well hidden from measurement in the near future.

However, no matter how small α is, there is an inevitable fact of a massless Goldstone boson associated with the spontaneous breaking of scale invariance. We emphasize that the emergent Standard Model is scale invariant at the classical level because there are no mass terms at all. In fact, the emergent Standard Model is invariant under the conformal group of transformations $SO(4, 2)$ at the classical level, where the conformal $SO(4, 2)$ is precisely the Lorentz symmetry in the higher $4 + 2$ dimensions as explained by 2T-physics. The spontaneous breaking of the electroweak symmetry simultaneously breaks the global scale symmetry and generates a Goldstone boson.

To identify the Goldstone boson we define the following orthogonal combinations of the fields h, ϕ

$$\tilde{h} = \frac{h - \alpha\phi}{\sqrt{1 + \alpha^2}}, \quad \tilde{\phi} = \frac{\alpha h + \phi}{\sqrt{1 + \alpha^2}}, \quad \text{or} \quad h = \frac{\tilde{h} + \alpha\tilde{\phi}}{\sqrt{1 + \alpha^2}}, \quad \phi = \frac{-\alpha\tilde{h} + \tilde{\phi}}{\sqrt{1 + \alpha^2}} \quad (6.9)$$

In terms of $\tilde{h}, \tilde{\phi}$ the kinetic terms remain correctly normalized $(\partial_\mu h)^2 + (\partial_\mu \phi)^2 = (\partial_\mu \tilde{h})^2 + (\partial_\mu \tilde{\phi})^2$ while the potential energy takes the form

$$V(\tilde{h}, \tilde{\phi}) = \frac{\lambda}{4} \tilde{h}^2 \left((1 - \alpha^2) \tilde{h} + 2\alpha\tilde{\phi} + \sqrt{1 + \alpha^2} 2v \right)^2 \quad (6.10)$$

From this we see that the field \tilde{h} is massive, but the field $\tilde{\phi}$ is massless since there is no quadratic term proportional to $\tilde{\phi}^2$.

We must emphasize that the analysis of this Goldstone boson is certainly incomplete. First we must remember that the dilaton couples to the gravitational or string sector and this can alter its mass. Furthermore, the scale invariance we mentioned above is known to be broken by quantum anomalies, at least as it is usually computed in any $3 + 1$ dimensional theory. Furthermore, the Coleman-Weinberg mechanism will also add mass-generating radiative corrections. Any of these or all of these effects would lift the mass of the dilaton, so the Goldstone boson identified above is not expected to remain massless. However, being potentially a Goldstone boson, its mass may not be too large and perhaps it is within the range of possible observations.

Can we expect to see such a dilaton in the coming experiments? Let's try to estimate its couplings to standard matter by first neglecting the effects mentioned in the previous paragraph. Evidently, this estimate must go through the Higgs sector. The dilaton $\tilde{\phi}$ couples to all fermions and electroweak bosons since the standard coupling of the Higgs h must be replaced everywhere by $h = \frac{\tilde{h} + \alpha\tilde{\phi}}{\sqrt{1 + \alpha^2}}$. The dimensionless coupling of h is proportional to the mass of the quarks and

leptons in the form m_i/v where m_i is the mass of the quark or lepton and v is the electroweak scale. Therefore the coupling of the dilaton $\tilde{\phi}$ to every quark and lepton is given by $\approx (m_i/v) \times \alpha$. The strongest coupling is evidently to the top quark since it has the largest mass. The value of α will determine whether this coupling is strong enough to be seen in the coming LHC experiments or in precision measurements that test radiative corrections.

The dilaton-Higgs scenario is certainly among the possible scenarios even in the usual Standard Model, but it has not been suggested before. The 2T-physics formulation provides a compelling motivation for favoring this alternative, especially since the 2T-physics approach solves the strong CP problem. Therefore, it needs to be taken seriously and studied more thoroughly. The effects of the dilaton should be incorporated into phenomenological estimates and it should be included among the experimental searches for new particles especially as part of understanding the origin of mass.

If the dilaton-Higgs scenario is realized in Nature, it would imply that the Higgs vacuum expectation value $\langle H^0(X) \rangle = \frac{v}{\kappa}$ or the corresponding dilaton ($\langle \Phi(X) \rangle = \frac{v}{\alpha\kappa}$) is a probe into the extra dimension κ .

VII. DIRECTIONS

In this paper we constructed the principles for field theory in $d+2$ dimensions. Because of the new 2Tgauge-symmetries the interactions are unique and the physical formulation is ghost free. This produces the physics of $(d-1)+1$ dimensions but from the vantage of $d+2$ dimensions. The advantages of formulating physics from the vantage of $d+2$ dimensions are conveyed by the duality, holography, symmetry and unifying features of 2T-physics illustrated partially in Fig.1.

In this paper we have studied only one of the $3+1$ dimensional holographic images of the new formulation of the Standard Model in $4+2$ dimensions. This established first of all that the 2T-physics formalism is completely physical and capable of correctly describing all we know in physics up to now, as embodied by the Standard Model of Particles and Forces.

Moreover, the Standard Model in $4+2$ dimensions resolved an outstanding problem of QCD, namely the strong CP problem. In addition it also provided a new point of view on mass generation by relating it to a deeper physical basis for mass. These features indicate that the $4+2$ vantage is capable of leading our thinking into new fertile territories, and apparently explain more than what was possible in $3+1$ dimensions.

Indeed a brief look into the ideas conveyed in Fig.1 is sufficient to say that there is a lot more to explore and explain by using the new formulation of the Standard Model. Perhaps such ideas will lead to new computational techniques for analyzing field theory non-perturbatively, and shed more light into structures such as quantum chromo-dynamics that is still in great need for technical progress.

Beyond the Standard Model, similar field theory techniques can be used to discuss grand unification and gravity. Grand unification would proceed through gauge theories as in the present paper. The equations of motion for gravity have already been constructed in [12] and these can certainly now be elevated to an action principle. It would be interesting to explore gravitational physics, including cosmology, black holes, and the issue of the cosmological constant by using the 2T-physics formulation.

Supersymmetry should be incorporated by basing it on the formulation of the superparticle in 2T-physics consistently with the $\text{Sp}(2, R)$ symmetry [4]-[6]. The field theory version of this is likely to have a richer mathematical structure of supersymmetry than $3 + 1$ dimensions. The twistor formalism [10][5][10][6][11] that is closely connected to this approach could also lead to a twistor or supertwistor version of field theory.

Beyond these, we recall that at the basis of what we presented is the very basic principle of $\text{Sp}(2, R)$ gauge symmetry that makes position and momentum locally indistinguishable. However, the field theory approach we used here distinguishes position from momentum. We may ask if we can come up with a more even-handed field theoretic formulation. There is a beginning along these lines in [31]. When some such approach succeeds to describe standard physics we will have access to deeper insights.

We have not even begun to discuss the quantum theory in this paper. It is evident that having an action principle was the first concrete step needed to define the quantum theory consistently through the path integral. This can now proceed in the standard manner, taking into consideration the gauge symmetries. Motivated by Dirac's approach to conformal symmetry, there were some efforts in the past to discuss quantum field theory in $4 + 2$ dimensions [19][21]. This was done by using some guesswork and without the benefit of an action principle, but it could provide some guidance for a renewed effort to formulate and use quantum field theory directly in $4 + 2$ dimensions, and then apply it to practical computations.

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VIII. APPENDIX A

In this appendix we give another form of the gauge fixed action for the scalar field (instead of Eq.(2.25)) and the gauge field (instead of Eq.(2.72)) that makes the underlying $\text{Sp}(2, R)$ symmetry fully transparent. For fermions we do not need a separate gauge fixed treatment of the equations of motion to display the underlying $\text{Sp}(2, R)$ symmetry, since we have seen that the fully gauge invariant version can already be written in terms of L^{MN} as in Eq.(2.45).

We emphasize that the fields Φ, A_M that appear below are the gauge fixed versions as discussed following Eq.(2.25) and Eq.(2.80) respectively. This means that their remainder proportional to X^2 are not the most general, but are restricted to a form allowed by the remaining 2Tgauge-symmetry.

The only $\text{Sp}(2, R)$ invariant in the 2T particle mechanics is the $\text{SO}(d, 2)$ orbital angular momentum $L^{MN} = X^M P^N - X^N P^M$. In the field theory version we substitute $P^M = -i\partial^M$, therefore it is natural for derivatives to appear in the combination of

$$L^{MN} = -i (X^M \partial^N - X^N \partial^M). \quad (8.1)$$

So, the kinetic term in the action for a scalar field Φ can be taken as follows (the potential term is identical to Eq.(2.25) and is omitted below)

$$S_0(\Phi) = -\frac{1}{2} \int d^{d+2} X \, \delta'(X^2) \left[\frac{1}{2} (L^{MN} \Phi) (L_{MN} \Phi) + \left(1 - \frac{d^4}{4}\right) \Phi^2 \right]. \quad (8.2)$$

Note here the $\delta'(X^2)$ rather than the $\delta(X^2)$ to implement the $\text{Sp}(2, R)$ constraint $X^2 = 0$. The reason for the constant term $\left(1 - \frac{d^4}{4}\right)$ is also $\text{Sp}(2, R)$. It is related to the fact that the $\text{SO}(d, 2)$ Casimir operator $\frac{1}{2} L^{MN} L_{MN}$ and the $\text{Sp}(2, R)$ Casimir operator $C_2^{Sp(2, R)} = \frac{1}{2} (Q_{11} Q_{22} + Q_{22} Q_{11}) - Q_{12} Q_{12}$, are related to each other by the following equation [1]

$$4C_2^{Sp(2, R)} = \frac{1}{2} L^{MN} L_{MN} - \left(1 - \frac{d^2}{4}\right) \quad (8.3)$$

$$= -X^2 \partial^2 + \left(X \cdot \partial + \frac{d-2}{2}\right) \left(X \cdot \partial + \frac{d+2}{2}\right). \quad (8.4)$$

Thus, after an integration by parts (noting $L^{MN} \delta'(X^2) = \delta'(X^2) L^{MN}$) the action can be written in terms of the $\text{Sp}(2, R)$ Casimir operator

$$S_0(\Phi) = \frac{1}{2} \int d^{d+2} X \, \delta'(X^2) \, \Phi \left(\frac{1}{2} L^{MN} L_{MN} - 1 + \frac{d^4}{4} \right) \Phi \quad (8.5)$$

$$= \frac{1}{2} \int d^{d+2} X \, \delta'(X^2) \, \Phi \left(4C_2^{Sp(2, R)} \right) \Phi. \quad (8.6)$$

$$= \frac{1}{2} \int d^{d+2} X \, \Phi \left\{ \delta(X^2) \partial^2 \Phi + \delta'(X^2) \left(X \cdot \partial + \frac{d-2}{2} \right) \left(X \cdot \partial + \frac{d+2}{2} \right) \right\} \Phi \quad (8.7)$$

In the last line we inserted the explicit form of the Casimir operator and used $-X^2\delta'(X^2) = \delta(X^2)$ so that the first term has the familiar appearance as the kinetic term of a Klein-Gordon field in $d+2$ dimensions as in Eq.(2.25), now with the delta function $\delta(X^2)$ instead of $\delta'(X^2)$. We see that with the extra terms proportional to $\delta'(X^2)$ we can rebuild the $\text{Sp}(2, R)$ Casimir operator and make it evident that there is an underlying $\text{Sp}(2, R)$ invariance.

The general variation of the action in Eq.(8.2) is $\delta S_0(\Phi) = \int d^{d+2}X \delta'(X^2) \delta\Phi \left(4C_2^{Sp(2,R)}\right) \Phi$ therefore the equation of motion is

$$\delta'(X^2) \left(4C_2^{Sp(2,R)}\right) \Phi = 0. \quad (8.8)$$

This can be written in the form

$$\delta(X^2) \partial^2 \Phi + \delta'(X^2) \left(X \cdot \partial + \frac{d-2}{2}\right) \left(X \cdot \partial + \frac{d+2}{2}\right) \Phi = 0, \quad (8.9)$$

from which we conclude that Φ satisfies two equations, not just one. Provided the remainder of $\Phi = \Phi_0 + X^2\tilde{\Phi}$ is a priori gauge fixed to be homogeneous²⁰ $(X \cdot \partial + \frac{d+2}{2})\tilde{\Phi} = 0$, the resulting equations for the full Φ are $[\partial^2\Phi]_{X^2=0} = 0$ and $(X \cdot \partial + \frac{d-2}{2})(X \cdot \partial + \frac{d+2}{2})\Phi = 0$. In particular this implies that the Casimir $C_2^{Sp(2,R)}$ vanishes on the free field

$$\left[C_2^{Sp(2,R)}\Phi\right]_{X^2=0} = 0. \quad (8.10)$$

Now we see that on $\hat{\Phi} = \delta(X^2)\Phi$ the quantities X^2 and ∂^2 vanish, hence their commutator which is proportional to $(X \cdot \partial + \frac{d-2}{2})\Phi = 0$ must also vanish. This is the solution we must take consistently with the equations of motion derived above. This indicates that we have come full circle, and derived the $\text{Sp}(2, R)$ singlet condition on $[\delta(X^2)\Phi]$ directly from the field theoretic action principle. Note that the simpler looking gauge fixed action that we adopted in the text in Eq.(2.25) gives the identical information.

For gauge bosons we can give an alternative but equivalent form of the gauge fixed action in Eq.(2.72) to display all derivatives in the form L^{MN} . Then the action takes the following manifestly $\text{Sp}(2, R)$ invariant form

$$S(A) = \frac{1}{4} \int (d^{d+2}X) \delta'(X^2) \Phi^{\frac{2(d-4)}{d-2}} \text{Tr}(F_{MNK}F^{MNK}), \quad (8.11)$$

where

$$F_{MNK} = F_{[MN}X_{K]}, \text{ with } F_{MN} = \partial_M A_N - \partial_N A_M - ig_A [A_M, A_N] \quad (8.12)$$

is invariant under $\text{Sp}(2, R)$ since only L^{MN} appears. Note the $\delta'(X^2)$ rather than $\delta(X^2)$ in the volume element which is similar to the scalar action in Eq.(8.2). The $\delta'(X^2)$ is just what is

²⁰ As emphasized in footnote (6), the correct two equations emerge only if Φ is already gauge fixed in this action. Otherwise the equations include a non-homogeneous remainder $\tilde{\Phi}$ which completely spoils the dynamical equation.

needed to relate to the action in Eq.(2.72). When we compute $Tr (F_{MNK} F^{MNK})$ we find

$$\delta' (X^2) Tr (F_{MNK} F^{MNK}) = \delta' (X^2) X^2 Tr (F_{MN} F^{MN}) + \dots \quad (8.13)$$

$$= -\delta (X^2) Tr (F_{MN} F^{MN}) + \dots \quad (8.14)$$

The first term reproduces the other action in Eq.(2.72) while the extra terms play a role similar to the extra terms in the scalar action above to complete into the $Sp(2, R)$ invariant. When all the equations of motion, and gauge symmetries, are taken into account the two actions give equivalent results in the physical sector. We emphasize that the remainder \tilde{A}_M in $A_M = A_M^0 + X^2 \tilde{A}_M$ must be a priori gauge fixed to satisfy the same properties of the remaining gauge freedom a_M as given in Eq.(2.65).

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